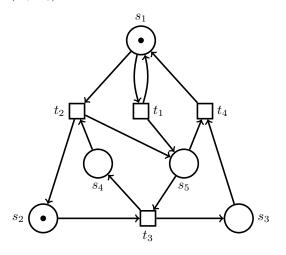
# Petri nets — Retake

- You have **75 minutes** to complete the exam.
- Write your name, Matrikelnummer (immatriculation number) and page number on every sheet.
- Write with a black or blue **pen**. Do not use red or green.
- You can obtain **36 points**. You need **15 points** to pass.

Except for the second question, the questions are over Petri nets that are connected, and that do not have weights on the arcs. The symbol  $\star$  denotes that we consider this question to be a bit harder.

Question 1 (3+3+6=12 points)Consider the following Petri net  $(N, M_0)$ :



- (a) Draw the coverability graph of  $(N, M_0)$ .
- (b) Is  $(N, M_0)$  deadlock free? If so, give a proof; if not, give a firing sequence leading to a dead marking.
- (c)  $\bigstar$  Show that if M is any marking such that  $M(s_1) > 0$  and  $M(s_4) > 0$ , then (N, M) is **not** live. **Hint:** The set  $\{s_1, s_5\}$  is a siphon.

#### Question 2 (3+3+3=9 points)

We consider nets with four distinguished places called Start, Input, End and Output. The nets may also have other places. Given such a net N and some  $n \ge 0$ , we denote by Start<sub>n</sub> the marking that puts

1 token in Start *n* tokens in Input and 0 tokens elsewhere.

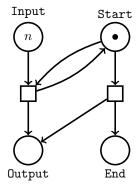
Similarly, we denote by  $End_n$  the marking that puts

1 token in End n tokens in Output and 0 tokens elsewhere.

We say N weakly computes a function f(n) if for every  $n \in \mathbb{N}$  (where  $\mathbb{N}$  contains 0) the following two conditions hold:

- Start<sub>n</sub> can reach  $\operatorname{End}_{f(n)}$ .
- Start<sub>n</sub> cannot reach  $End_m$  for any m > f(n).

For example, the following net weakly computes the function f(x) = x + 1.



Give Petri nets with weighted arcs which weakly compute the following functions:

(a) the function

$$f(x) = \begin{cases} 1 & \text{if } 2 \le x \\ 0 & \text{otherwise} \end{cases}$$

The Petri net you give must have at most 5 places.

(b) the function

$$f(x) = \begin{cases} 1 & \text{if } x \le 2\\ 0 & \text{otherwise} \end{cases}$$

The Petri net you give must have at most 5 places.

(c) the function

$$f(x) = \begin{cases} 1 & \text{if } x = 2\\ 0 & \text{otherwise} \end{cases}$$

**Hint:** One possible solution uses (a), (b) and the fact that x = 2 is equivalent to  $2 \le x \land x \le 2$ .

#### Question 3 (3+0=3 points)

have been given bonus points.

Let N = (S, T, F) be a net of incidence matrix **N**. Let  $s, s_1, s_2$  be places such that  $\mathbf{N}(s, t) = \mathbf{N}(s_1, t) + \mathbf{N}(s_2, t)$  for all  $t \in T$ , i.e., the row for the place s is the sum of the rows for the places  $s_1$  and  $s_2$  in the matrix **N**.

- (a) A marking M is called good if  $M(s) = M(s_1) + M(s_2)$ . Show that if M is a good marking and M' is reachable from M, then M' is also a good marking. **Hint:** Find a suitable place invariant.
- (b) For any good marking M, show that a marking D is a deadlock of (N, M) if and only if marking  $D_s$  is a deadlock of  $(N_s, M_s)$ . Here,  $N_s$  is the subnet obtained from N by removing the place s together with its input and output arcs,  $M_s$  is the marking of  $N_s$  satisfying  $M_s(s') = M(s')$  for every  $s' \in S \setminus \{s\}$ , and similarly  $D_s$  is the marking of  $N_s$  satisfying  $D_s(s') = D(s')$  for every  $s' \in S \setminus \{s\}$ . **Edit:** This question, as currently stated, is wrong because of transitions that remove a token and put back a token in the same place. A counterexample for this claim, along with a proof of the modified version of the claim is given in the solutions. For this reason, we have removed the 4 points associated to this subpart, reduced the total points to 36 and adjusted the points for passing accordingly. Since one

direction of this claim is still true, students who have given partial answers to this question

## Question 4 (4+8=12 points)

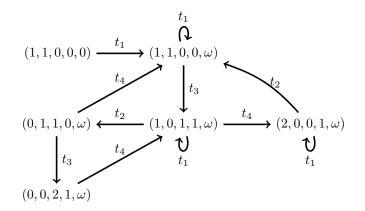
A place s of a Petri net  $(N, M_0)$  with N = (S, T, F) is said to be *floodable* if the following is true: For every number k, there is a marking M and an occurrence sequence  $\sigma$  of **length at most**  $k \cdot |T|$  such that  $M_0 \xrightarrow{\sigma} M$  and  $M(s) \ge k$ .

Note that if a place is floodable then it is unbounded. But the converse is in general **not true**.

- (a) Give an example of an acyclic net  $(N, M_0)$  with at most 5 places and 5 transitions and a place s of N such that s is unbounded, but s is not floodable.
- (b)  $\bigstar$  Suppose  $(N, M_0)$  is a live T-system such that there is **exactly one place** s which is unbounded and all other places are 1-bounded. Prove that s is floodable.

#### Solution 1 (3+3+6=12 points)

(a) The following is the coverability graph of  $(N, M_0)$ .



(b) The following is a run leading to a dead marking.

 $(1,1,0,0,0) \xrightarrow{t_1} (1,1,0,0,1) \xrightarrow{t_3} (1,0,1,1,0) \xrightarrow{t_2} (0,1,1,0,1) \xrightarrow{t_3} (0,0,2,1,0)$ 

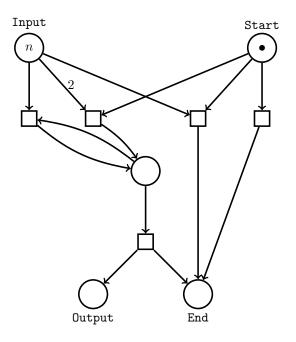
(c) Suppose  $M(s_5) > 0$ . Since  $M(s_1) > 0$  and  $M(s_4) > 0$ , it follows that we can fire the sequence  $t_2, t_3, t_4$  from M to reach a marking M' where M' is exactly the same as M, except that  $M'(s_5) = M(s_5) - 1$ . If  $M'(s_5) > 0$ , we can fire the sequence  $t_2, t_3, t_4$  again from M' to reduce the number of tokens in  $s_5$  by one. By repeating this procedure, from M we can reach a marking  $M_1$  which is the same as M except that  $M_1(s_5) = 0$ .

Since  $M_1(s_1) > 0$  and  $M_1(s_4) > 0$ , it follows that we can fire the sequence  $t_2, t_3$  from  $M_1$  to reach a marking  $M'_1$  which is the same as  $M_1$  except that  $M'_1(s_1) = M_1(s_1) - 1$  and  $M'_1(s_3) = M_1(s_3) + 1$ . If  $M'_1(s_1) > 0$ , we can fire  $t_2, t_3$  again to reduce the number of tokens in  $s_1$  by one. By repeating this procedure, from  $M_1$  we can reach a marking  $M_2$  such that  $M_2(s_1) = M_2(s_5) = 0$ .

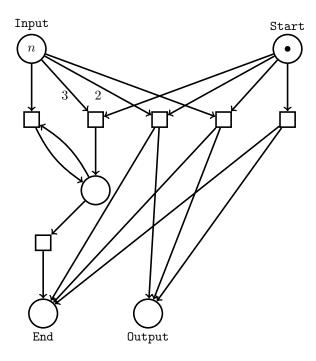
Since  $\{s_1, s_5\}$  is a siphon and since  $M_2(s_1) = M_2(s_5) = 0$ , it follows that if L is any marking reachable from  $M_2$ , then  $L(s_1) = L(s_5) = 0$ . Hence, it follows that the transition  $t_1$  can never be fired from any reachable marking of  $M_2$  and so  $M_2$  is not live. Consequently, this also proves that M is not live.

## Solution 2 (3+3+3=9 points)

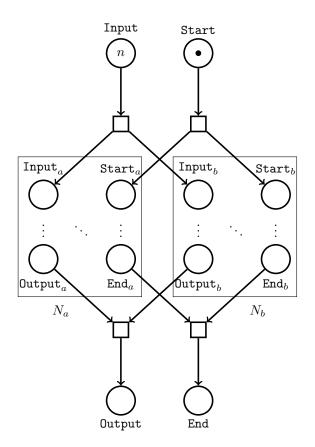
(a) The following is one possible solution.



(b) The following is one possible solution.



(c) The following solution uses the solutions for a) and b). Here,  $N_a$  and  $N_b$  are the nets for the subparts a) and b) respectively. The places  $\mathtt{Start}_a, \mathtt{Input}_a, \mathtt{End}_a$  and  $\mathtt{Output}_a$  denote the start, input, end and output places of  $N_a$  respectively. Similarly for b).



#### Solution 3 (3+0=3 points)

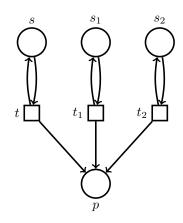
(a) Let  $I: S \to \mathbb{N}$  be the vector given by I(s) = 1,  $I(s_1) = -1$ ,  $I(s_2) = -1$  and I(s') = 0 for all other places s'. Since  $\mathbf{N}(s,t) = \mathbf{N}(s_1,t) + \mathbf{N}(s_2,t)$  for every transition t, it follows that  $I \cdot \mathbf{N} = 0$  and so I is a S-invariant of N.

Suppose M is a good marking and M' is reachable from M. By the fundamental property of S-invariants, it follows that  $I \cdot M = I \cdot M'$ . Since M is a good marking, we have that  $I \cdot M = 0$  and so it follows that  $I \cdot M' = 0$ . Since  $I \cdot M' = 0$ , it means that  $M'(s) - M'(s_1) - M'(s_2) = 0$  and so we have that M' is a good marking.

(b) Let D be a reachable marking of (N, M) where M is a good marking.

Suppose  $D_s$  is a reachable marking of  $(N_s, M_s)$  which is a deadlock. We will now show that D is also a deadlock of (N, M). Let t be any transition of N. Since t is also a transition of  $N_s$ , it follows that there is at least one place  $s' \in {}^{\bullet}t$  in  $N_s$  such that  $D_s(s') = 0$ . By definitions of  $D_s$  and  $N_s$ , it follows that D(s') = 0 and  $s' \in {}^{\bullet}t$  in N as well, which proves that D is a deadlock of (N, M).

The other direction is false. For example, let us consider the following net N.



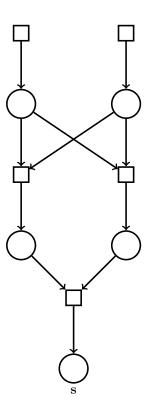
Notice that  $\mathbf{N}(s', t) = 0$  for every  $s' \in \{s, s_1, s_2\}$  and every transition t and so this net satisfies the property  $\mathbf{N}(s, t) = \mathbf{N}(s_1, t) + \mathbf{N}(s_2, t)$  for every transition t. Also notice that the zero marking  $\mathbf{0}$  is a deadlock for this net. However, in the net  $(N_s, \mathbf{0}_s)$ , we can fire the transition t from any marking and so no marking is a deadlock marking.

However, a modified version of this claim is true. Suppose in the net N, there is no transition t such that  $s \in {}^{\bullet}t \cap t^{\bullet}$ . With this assumption, we give a proof of the other direction. Suppose D is a reachable marking of (N, M) such that M is a good marking and D is a deadlock. Let  $M \xrightarrow{\sigma} D$ . By induction on the length of  $\sigma$  and by using the construction of  $N_s, M_s$  and  $D_s$ , we can show that  $M_s \xrightarrow{\sigma} D_s$  is a valid run in  $N_s$ . Let t be any transition of  $N_s$ . Since t is also a transition of N, it follows that there is at least one place  $s' \in {}^{\bullet}t$  in N such that D(s') = 0. If  $s' \neq s$ , then  $D_s(s') = 0$  in  $N_s$  and so  $D_s$  cannot fire the transition t.

Suppose s' = s. By subpart a), we know that D is a good marking and so  $D(s) = D(s_1) + D(s_2)$ . Since D(s) = 0, it must be the case that  $D(s_1) = D(s_2) = 0$ . Further, we know that  $\mathbf{N}(s,t) = \mathbf{N}(s_1,t) + \mathbf{N}(s_2,t)$  where  $\mathbf{N}$  is the incidence matrix of N. Since  $s \in {}^{\bullet}t$ , by our assumption  $s \notin t^{\bullet}$  and so  $\mathbf{N}(s,t) = -1$ . Since there are no weighted arcs, it must be the case that either  $\mathbf{N}(s_1,t) = -1$  or  $\mathbf{N}(s_2,t) = -1$ . Without loss of generality, let  $\mathbf{N}(s_1,t) = -1$ . Then,  $s_1 \in {}^{\bullet}t$  and  $D(s_1) = 0$ . This means that  $D_s(s_1) = 0$  in  $N_s$  and so  $D_s$  cannot fire the transition t. Hence, we have shown that  $D_s$  cannot fire any transition in  $N_s$  and so  $D_s$  is a deadlock of  $(N_s, M_s)$ .

### Solution 4 (4+8=12 points)

(a) The following is one possible solution.



- (b) Let N = (S, T, F) be the given net and let t be the unique transition such that  $\bullet s = t$ . Let N' be the subnet of N defined as follows:
  - $S' = \{s' \in S : \text{ There is a path from } s' \text{ to } t \text{ in } N\}$  $T' = \{t' \in T : \text{ There is a path from } t' \text{ to } t \text{ in } N\}$  $F' = F \cap ((T' \times S') \cup (S' \times T'))$

First we show that N' is a T-system. Indeed, suppose s' is a place of N'. In the net N, let  $\bullet s' = \{r_1\}$  and  $s'^{\bullet} = \{r_2\}$ . We claim that in the net N',  $\bullet s' = \{r_1\}$  and  $s'^{\bullet} = \{r_2\}$ . Indeed, since s' is a place of N', it follows that there is a path from s' to t in N. This implies that there is also a path from  $r_1$  to t in N and so  $r_1$  is a transition of N'. Since any outgoing path from s' in N has to go through  $r_2$ , it also follows that there is a path from  $r_2$  to t in N and so  $r_2$  is a transition of N'. Since F' is the restriction of the flow relation F to S' and T', the require claim follows. Hence, N' is also a T-system.

Let  $M'_0$  be the marking of N' given by  $M'_0(s) = M_0(s)$  for all  $s \in S'$ . We now claim that  $(N', M'_0)$  is live. Indeed, since  $(N, M_0)$  is live, by the liveness theorem for T-systems, it follows that  $M_0(\gamma) > 0$  for every circuit  $\gamma$  of N. Since every circuit of N' is also a circuit of N and since  $M_0$  and  $M'_0$  agree on all  $s \in S'$ , it follows that  $M'_0(\gamma) > 0$  for every circuit  $\gamma$  of N'. By the liveness theorem for T-systems, it follows that  $(N', M'_0) > 0$  for every circuit  $\gamma$  of N'. By the liveness theorem for T-systems, it follows that  $(N', M'_0) > 0$  for every circuit  $\gamma$  of N'. By the liveness theorem for T-systems, it follows that  $(N', M'_0)$  is live.

We now prove that  $(N', M'_0)$  is 1-bounded. Since, all places of  $(N, M_0)$  except s are 1-bounded and since  $(N', M'_0)$  is a subnet of N where  $M'_0$  and  $M_0$  agree on all  $s \in S'$ , it suffices to show that the place s cannot be present in N'. For the sake of contradiction, suppose s is a place of N'. By definition, there must be a path from s to t in N. Since  $\bullet s = \{t\}$ , it follows that there is also a path from t to s in N and so s is part of a circuit  $\gamma$  in N. But then the boundedness theorem implies that s must be bounded, which leads to a contradiction. Hence s cannot be present in N' and so  $(N', M'_0)$  is 1-bounded.

Hence we have shown that  $(N', M'_0)$  is a live 1-bounded T-system. From the lectures, we know the following fact:

If  $(N', M'_0)$  is a live 1-bounded T-system, then there exists a firing sequence  $\sigma$  in which each transition of N' appears exactly once such that  $M'_0 \xrightarrow{\sigma} M'_0$  in N'.

Applying this fact here we get a firing sequence  $\sigma$  of length  $|T'| \leq |T|$  such that  $M'_0 \xrightarrow{\sigma} M'_0$  in the net N'. This then implies that  $M_0 \xrightarrow{\sigma} M_1 \xrightarrow{\sigma} M_2 \dots M_{k-1} \xrightarrow{\sigma} M_k$  is a valid run of length at most  $k \cdot |T|$  in the net N where each  $M_i$  is exactly the same as  $M_0$  except that  $M_i(s') = M_0(s') + i$  for every  $s' \in t^{\bullet}$ . In particular, we have that  $M_k(s) \geq k$  and so s is floodable.