First-order Predicate Logic
Theories

# **Preliminary Definitions**

Definition

A signature  $\Sigma$  is a set of predicate and function symbols.

A  $\Sigma$ -formula is a formula that contains only predicate and function symbols from  $\Sigma$ .

A  $\Sigma$ -structure is a structure that interprets all predicate and function symbols from  $\Sigma$ .

Definition

A  $\Sigma$ -sentence is a closed  $\Sigma$ -formula.

Convention: we assume that a signature  $\Sigma$  has been fixed, and drop  $\Sigma$  in  $\Sigma$ -formula,  $\Sigma$ -structure, or  $\Sigma$ -sentence. That is, we silently assume that all formulas, structures, and sentences are over the same fixed signature  $\Sigma$ .

## Theories

### Definition

A theory is a (finite or infinite) set of sentences S closed under consequence: If  $S \models F$  and F is a sentence, then  $F \in S$ .

#### Fact

The set A of all sentences is a theory: If  $S \models F$  for a sentence F, then in particular F is a sentence and so  $F \in S$ .

The set V of all valid sentences is a theory: If  $V \models F$  for a sentence F, then F is valid and so  $F \in V$ .

 $V \subseteq S$  hods for every theory S: If F is a valid sentence, then  $\models F$ . It follows  $S \models F$  and, since S is a theory,  $F \in S$ .

There are two ways to define interesting theories:

- As the set of sentences satisfied by a fixed structure.
- ► As the set of consequences of a fixed set of sentences.

# Theories from structures

### Definition

Given a structure  $\mathcal{A}$ , let  $Th(\mathcal{A})$  denote the set of all sentences true in  $\mathcal{A}$ . That is,  $Th(\mathcal{A}) := \{F \mid F \text{ is a sentence and } \mathcal{A} \models F\}$ .

#### Lemma

For every structure A and sentence  $F: A \models F$  iff  $Th(A) \models F$ .

#### Proof.

 $"\Rightarrow": \mathcal{A} \models F \Rightarrow F \in Th(\mathcal{A}) \Rightarrow Th(\mathcal{A}) \models F.$ 

" $\Leftarrow$ ": Assume  $Th(\mathcal{A}) \models F$ . We prove  $\mathcal{A} \models Th(\mathcal{A})$ , which, together with  $\mathcal{A} \models F$ , implies  $\mathcal{A} \models F$ . To prove  $\mathcal{A} \models Th(\mathcal{A})$ , let  $G \in Th(\mathcal{A})$ . We have  $\mathcal{A} \models G$  by definition of  $Th(\mathcal{A})$ .

Corollary Th(A) is a theory.

**Proof.** Assume  $Th(\mathcal{A}) \models F$ . By the lemma above,  $\mathcal{A} \models F$ . From the definition of  $Th(\mathcal{A})$  we get  $F \in Th(\mathcal{A})$ .

## Example

**Notation:**  $(\mathbb{Z}, +, \leq)$  denotes the structure with universe  $\mathbb{Z}$  and the standard interpretations for the symbols + and  $\leq$ .

The same notation is used for other standard structures where the interpretation of a symbol is clear from the symbol.

Example (Linear integer arithmetic)

 $Th(\mathbb{Z}, +, \leq)$  is the set of all sentences over the signature  $\{+, \leq\}$  that are true in the structure  $(\mathbb{Z}, +, \leq)$ .

## Famous numerical theories

 $Th(\mathbb{R}, +, <, =)$  is called linear real arithmetic. It is decidable.

 $Th(\mathbb{R}, +, *, <, =)$  is called real arithmetic.

It is decidable.

 $Th(\mathbb{Z}, +, <, =)$  is called linear integer arithmetic or Presburger arithmetic.

It is decidable.

 $Th(\mathbb{Z}, +, *, <, =)$  is called integer arithmetic.

It is not even semidecidable (= r.e.).

Decidability via special algorithms.

# Theories from axioms

### Definition

Let S be a set of sentences. Cn(S) denotes the set of consequences of S:  $Cn(S) = \{F \mid F \text{ is a sentence and } S \models F\}$ 

### Examples

 $Cn(\emptyset)$  is the set of valid sentences.

 $Cn(\{\forall x \forall y \forall z \ (x * y) * z = x * (y * z)\})$  is the set of sentences that are true in all semigroups.

#### Lemma

For every set S of sentences, the set Cn(S) is a theory.

**Proof.** We show: if F is a sentence and  $Cn(S) \models F$  then  $F \in Cn(S)$ .

By the def. of Cn(S) we have  $S \models Cn(S)$ . By assumption  $Cn(S) \models F$ , and so  $S \models F$  by transitivity of  $\models$ . From the definition of Cn(S) we get  $F \in Cn(S)$ .

## Axioms

### Definition

Let S be a set of sentences.

A theory T is axiomatized by S if T = Cn(S).

A theory T is axiomatizable if there is some decidable or recursively enumerable S that axiomatizes T.

A theory T is finitely axiomatizable if there is some finite S that axiomatizes T.

## Completeness and elementary equivalence

### Definition

A theory T is complete if for every sentence F,  $T \models F$  or  $T \models \neg F$ .

#### Fact

Th(A) is complete for every structure A. Cn({ $\forall x \forall y \forall z (x * y) * z = x * (y * z)$ }) is incomplete: neither  $\forall x \forall y x * y = y * x$  nor its negation belong to it.

### Definition

Two structures A and B are elementarily equivalent if Th(A) = Th(B).

### Theorem

A theory T is complete iff all its models are elementarily equivalent.

Theorem

A theory T is complete iff all its models are elementarily equivalent.

**Proof.** We prove that T is incomplete iff two of its models are not elementarily equivalent.

" $\Rightarrow$ :" Assume T is incomplete. Then  $T \not\models \neg F$  and  $T \not\models F$  for some F. So  $\mathcal{A}(\neg F) = 0$  (thus  $\mathcal{A}(F) = 1$ ) for some model  $\mathcal{A}$  of T, and  $\mathcal{B}(F) = 0$  for some model  $\mathcal{B}$  of T.

It follows  $F \in Th(\mathcal{A}) \setminus Th(\mathcal{B})$ , and so  $Th(\mathcal{A}) \neq Th(\mathcal{B})$ .

" $\Leftarrow$ :" Assume two models  $\mathcal{A}$  and  $\mathcal{B}$  of T are not elementarily equivalent. W.l.o.g.  $Th(\mathcal{A}) \setminus Th(\mathcal{B}) \neq \emptyset$  and so  $\mathcal{A}(F) = 1$  and  $\mathcal{B}(F) = 0$  for some F.

We prove  $T \not\models F$  and  $T \not\models \neg F$ . If  $T \models F$ , then, since  $\mathcal{B} \models T$  we have  $\mathcal{B} \models F$ , contradicting  $\mathcal{B}(F) = 0$ . If  $T \models \neg F$  then, since  $\mathcal{A} \models T$ , we have  $\mathcal{A} \models \neg F$ , contradicting  $\mathcal{A}(F) = 1$ .