# First-Order Logic Undecidability

[Cutland, Computability, Section 6.5.]

### Aim:

Show that validity of first-order formulas is undecidable

## Method:

Reduce the halting problem for register machines to validity of formulas by expressing "program behaviour" as formulas

Logical formulas can talk about computations!

## Register machine programs (RMPs)

A register machine program is a sequence of instructions  $I_1, \ldots, I_t$ . The instructions manipulate registers  $R_i$   $(i = 1, 2, \ldots, r)$  that contain (unbounded!) natural numbers.

There are 4 types of instructions:

$$R_i := 0$$
  
 $R_i := R_i + 1$   
 $R_i := R_j$   
IF  $R_i = R_j$  GOTO  $p$ 

Assumption: all jumps in a program go to  $1, \ldots, t + 1$ , and execution terminates when the PC (the number of the next instruction to be executed) is t + 1.

The state of *P* during execution can be described by a tuple of r + 1 natural numbers

$$(n_1,\ldots,n_r,k)$$

where  $n_i$  is the content of  $R_i$  and k is the value of the PC.

## Undecidability

Theorem (Undecidability of the halting problem for RMPs) It is undecidable if a given register machine program terminates when started in state (0, ..., 0, 1).

We reduce the halting problem for RMPs to the validity problem for first-order formulas.

Notation:  $P(0) \downarrow =$  "RMP *P* started in state (0,...,0,1) terminates"

#### Theorem

Given an RMP P we can effectively construct a closed formula  $\varphi_P$  such that  $P(0) \downarrow iff \models \varphi_P$ .

**Proof** by construction of  $\varphi_P$  from  $P = I_1, \ldots, I_t$ . Funct. symb.: z, s. Abbr.:  $\overline{0} = z$ ,  $\overline{1} = s(z)$ ,  $\overline{2} = s(s(z))$ , ... Pred. symb.: R (arity: r + 1). (Think "reachable".) Aim: if  $R(\overline{n_1}, \ldots, \overline{n_r}, \overline{k})$  then  $(0, \ldots, 0, 1) \stackrel{P}{\rightsquigarrow} (n_1, \ldots, n_r, k)$ . 1) For every  $I_i$  construct closed formula  $\Psi_i$ :  $I_i = (R_n := 0): \Psi_i := \forall x_1 \dots x_r \ (R(x_1, \dots, x_n, \dots, x_r, \overline{i})) \rightarrow$  $R(x_1,\ldots,z,\ldots,x_r,\overline{i+1}))$  $I_i = (R_n := R_n + 1)$ : same except  $s(x_n)$  instead of z  $I_i = (R_n := R_m)$ : same except  $x_m$  instead of z  $I_i = (IF R_m = R_n GOTO p)$ :  $\Psi_i := \forall x_1 \dots x_r \left( R(x_1, \dots, x_r, \overline{i}) \to (x_m = x_n \to R(x_1, \dots, x_r, \overline{p}) \right) \wedge$  $(x_m \neq x_n \rightarrow R(x_1, \ldots, x_r, \overline{i+1})))$ 

2) Define  $\Psi_P := \Psi \land R(z, ..., z, s(z)) \land \Psi_1 \land \dots \land \Psi_t$  where  $\Psi := \forall x \forall y (s(x) = s(y) \rightarrow x = y) \land \forall x (z \neq s(x)).$   $\Psi$  enforces that every model is "similar enough" to  $\mathbb{N}$ . 3) Define  $\varphi_P := \Psi_P \rightarrow \tau$  where  $\tau := \exists x_1 ... x_r R(x_1, ..., x_r, s(\overline{t})).$  Claim:  $P(0) \downarrow \text{iff} \models \varphi_P$ , that is,  $P(0) \downarrow \text{iff} \models \Psi_P \rightarrow \tau$ .

" $\Rightarrow$ ": Assume  $P(0) \downarrow$ . We show: for every  $\mathcal{A}$ , if  $\mathcal{A} \models \Psi_P$  then  $\mathcal{A} \models \tau$ . Assume  $\mathcal{A} \models \Psi_P$ .

#### Lemma

If  $(0, ..., 0, 1) \stackrel{P}{\leadsto} (n_1, ..., n_r, k)$  then  $\mathcal{A} \models R(\overline{n_1}, ..., \overline{n_r}, \overline{k})$ Proof by induction on the length of the execution using  $\mathcal{A} \models \Psi_P$ . Thus  $\mathcal{A} \models \tau$  because  $P(0) \downarrow$ .

" $\Leftarrow$ ": Assume  $\models \Psi_P \rightarrow \tau$ . We show  $P(0) \downarrow$ . We have  $\mathcal{N} \models \Psi_P \rightarrow \tau$  for the structure  $\mathcal{N}$  given by

$$U_{\mathcal{N}} := \mathbb{N} \quad z^{\mathcal{N}} := 0 \quad s^{\mathcal{N}}(n) := n+1$$
 .

In this structure  $R^{\mathcal{N}} := \{ s \mid (0, ..., 0, 1) \stackrel{P}{\rightsquigarrow} s \}$  and so  $\mathcal{N} \models \Psi_P$ . From  $\mathcal{N} \models \Psi_P$  and  $\mathcal{N} \models \Psi_P \rightarrow \tau$  we get  $\mathcal{N} \models \tau$ , which implies  $P(0) \downarrow$ .