

Presburger Arithmetic

- Which arithmetical problems can be solved using automata?
- **Presburger arithmetic (PA)**: a logical language to define arithmetical properties of (tuples of) natural numbers

Is there an integer solution?

$$3x - 4y = 5$$

$$-x + y = 3$$

Is there an integer solution?

$$\begin{aligned} 2x + 3y &\geq 5 \\ -x + 4y &\leq 3 \end{aligned}$$

Are there integers x, y such that

$$3x - 4y = 5$$

$$-x + y = 3$$

but not

$$2x + 3y \geq 2$$

$$-x + 4y \leq 4 \quad ?$$

For every integer solution x, y of

$$2x + 3y \geq 5$$

$$-x + 4y \leq 3$$

is there is an integer solution z, u of

$$3z - 2u \geq 3$$

$$-z + 4u \leq -2$$

such that $x + z = y + u$?

Syntax of PA

- Symbols:

Variables

$x, y, z \dots$

Constants

$0, 1$

Arithmetical symbols

$+, \leq$

Logical symbols

\forall, \neg, \exists $(\wedge, \vee, \rightarrow, \dots)$

Parenthesis

$(,)$

- Terms:

Variables, 0 and 1 are terms.

If t and u are terms, then $t + u$ is a term.

Syntax of PA

- Atomic formulas:

$t \leq u$, where t and u are terms

- Formulas:

Atomic formulas are formulas.

If φ_1, φ_2 are formulas, then so are $\varphi_1 \vee \varphi_2, \neg \varphi_1, \exists x \varphi_1$

- Free and bound variables:

A variable is **bound** if it is in the scope of an existential quantifier, otherwise it is **free**.

- Sentences: formulas without free variables.

Abbreviations

- Logical abbreviations:

$$\varphi_1 \wedge \varphi_2 \equiv \neg(\neg\varphi_1 \vee \neg\varphi_2)$$

$$\varphi_1 \rightarrow \varphi_2 \equiv \neg\varphi_1 \vee \varphi_2$$

$$\varphi_1 \leftrightarrow \varphi_2 \equiv \neg(\varphi_1 \vee \varphi_2) \vee \neg(\neg\varphi_1 \vee \neg\varphi_2)$$

$$\forall x \varphi \equiv \neg \exists x \neg \varphi$$

- Arithmetic abbreviations:

$$n := \underbrace{1 + 1 + \dots + 1}_{n \text{ times}}$$

$$nx := \underbrace{x + x + \dots + x}_{n \text{ times}}$$

$$t \geq t' := t' \leq t$$

$$t = t' := t \leq t' \wedge t \geq t'$$

$$t < t' := t \leq t' \wedge \neg(t = t')$$

$$t > t' := t' < t$$

Semantics (intuition)

- The semantics of a sentence is **true** or **false**.
- The semantics of a formula with free variables (x_1, \dots, x_k) is the set containing all tuples (n_1, \dots, n_k) of natural numbers that "satisfy the formula"

Semantics (more formally)

- An **interpretation of a formula φ** is a function \mathcal{J} that assigns a natural number to every free variable appearing in φ (and perhaps also to others).
- Given an interpretation \mathcal{J} , a variable x , and a number n , we denote by $\mathcal{J}[n/x]$ the interpretation that assigns to x the number n , and to all other variables the same value as \mathcal{J} .

Semantics (more formally)

- We inductively define when an interpretation \mathcal{J} satisfies a formula φ , denoted by $\mathcal{J} \models \varphi$:

$$\mathcal{J} \models t \leq u \quad \text{iff} \quad \mathcal{J}(t) \leq \mathcal{J}(u)$$

$$\mathcal{J} \models \neg\varphi_1 \quad \text{iff} \quad \mathcal{J} \not\models \varphi_1$$

$$\mathcal{J} \models \varphi_1 \vee \varphi_2 \quad \text{iff} \quad \mathcal{J} \models \varphi_1 \text{ or } \mathcal{J} \models \varphi_2$$

$$\mathcal{J} \models \exists x \varphi \quad \text{iff} \quad \text{there exists } n \geq 0 \text{ such that } \mathcal{J}[n/x] \models \varphi$$

Semantics (more formally)

- **Lemma:** If two interpretations of a formula φ assign the same values to all **free** variables of φ , then either both satisfy φ or none satisfy φ .
- **Corollary:** if φ is a sentence, either all interpretations satisfy φ , or none satisfy φ .
- A **model** or **solution** of φ is the projection of an interpretation that satisfies φ onto the free variables of φ . The set of solutions or **solution space** is denoted by $Sol(\varphi)$.

Formulating questions

Are there integers x, y such that

$$2x + 3y \geq 5$$

$$-x + 4y \leq 3 \quad ?$$

$$\exists x \exists y (2x + 3y \geq 5 \wedge -x + 4y \leq 3)$$

Formulating questions

For every solution x, y of

$$2x + 3y \geq 5$$

$$-x + 4y \leq 3$$

is there is a solution z, u of

$$3z - 2u \geq 3$$

$$-z + 4u \leq -2$$

such that $x + z = y + u$?

$$\forall x \forall y$$

$$(2x + 3y \geq 5 \wedge -x + 4y \leq 3)$$

\rightarrow

$$(\exists z \exists u$$

$$(3z - 2u \geq 3 \quad \wedge$$

$$-z + 4u \leq -2 \quad \wedge$$

$$x + z = y + u \quad))$$

Language of a formula

- We encode natural numbers with the *lsbf* encoding.
- If φ has free variables x_1, \dots, x_k , we encode a solution of φ as a word over $\{0,1\}^k$ in the usual way. E.g, the minimal encoding of $(x_1, x_2, x_3) = (5, 10, 0)$ is

$$\begin{array}{l} x_1 \\ x_2 \\ x_3 \end{array} \begin{array}{cccc} \left[\begin{array}{c} 1 \\ 0 \\ 0 \end{array} \right] & \left[\begin{array}{c} 0 \\ 1 \\ 0 \end{array} \right] & \left[\begin{array}{c} 1 \\ 0 \\ 0 \end{array} \right] & \left[\begin{array}{c} 0 \\ 1 \\ 0 \end{array} \right] \end{array}$$

- The *language of φ* , denoted by $L(\varphi)$, is the set of encodings of the solutions of φ .

An NFA for the solution space

- Given φ , we construct an NFA A_φ such that $L(A_\varphi) = L(\varphi)$
- We can take:

$$A_{\neg\varphi} \quad := \quad \text{CompNFA}(A_\varphi)$$

$$A_{(\varphi_1 \vee \varphi_2)} \quad := \quad \text{UnionNFA}(A_{\varphi_1}, A_{\varphi_2})$$

$$A_{\exists x\varphi} \quad := \quad \text{Projection}_x(A_\varphi)$$

where *Projection_x* projects onto all variables but x

- It remains to construct A_φ for an **atomic formula** φ .

DFA for atomic formulas

- Every atomic formula has the same solutions as an equation of the form

$$a_1x_1 + \dots + a_nx_n \leq b := a \cdot x \leq b$$

where the a_i and b are arbitrary integers (possibly negative).

- Given $a \cdot x \leq b$ we construct a DFA with integers as states and b as initial state satisfying:

Each state $q \in \mathbb{Z}$ recognizes the tuples $c \in \mathbb{N}^n$ such that $a \cdot c \leq q$

Transitions

- Given $q \in \mathbb{Z}$ and a letter $\zeta \in \{0,1\}^n$ we compute the target state $q' \in \mathbb{Z}$ of the transition (q, ζ, q') .
- For every word $w \in (\{0,1\}^n)^*$ we have:
 - w is accepted from q' iff ζw is accepted from qand so for every tuple $c \in \mathbb{N}^n$:
 - c is accepted from q' iff $2c + \zeta$ is accepted from q
- Hence we choose q' so that
 - $a \cdot c \leq q'$ iff $a \cdot (2c + \zeta) \leq q$
- Since $a \cdot (2c + \zeta) \leq q$ iff $2(a \cdot c) + a \cdot \zeta \leq q$ iff $a \cdot c \leq \left\lfloor \frac{q - a \cdot \zeta}{2} \right\rfloor$ we take

$$q' = \left\lfloor \frac{q - a \cdot \zeta}{2} \right\rfloor$$

Final states

- A state is final iff it accepts the empty word
- So $q \in \mathbb{Z}$ is final iff it accepts $(0, \dots, 0) \in \mathbb{N}^n$
- So we take $q \in \mathbb{Z}$ final iff $a \cdot (0, \dots, 0) \leq q$ iff $q \geq 0$

AFtoDFA(φ)

Input: Atomic formula $\varphi = a \cdot x \leq b$

Output: DFA $A_\varphi = (Q, \Sigma, \delta, q_0, F)$ such that $L(A_\varphi) = L(\varphi)$

- 1 $Q, \delta, F \leftarrow \emptyset; q_0 \leftarrow s_b$
- 2 $W \leftarrow \{s_b\}$
- 3 **while** $W \neq \emptyset$ **do**
- 4 **pick** s_k **from** W
- 5 **add** s_k **to** Q
- 6 **if** $k \geq 0$ **then add** s_k **to** F
- 7 **for all** $\zeta \in \{0, 1\}^n$ **do**
- 8 $j \leftarrow \left\lfloor \frac{k - a \cdot \zeta}{2} \right\rfloor$
- 9 **if** $s_j \notin Q$ **then add** s_j **to** W
- 10 **add** (s_k, ζ, s_j) **to** δ

Example: $3x - 2y \geq 6$

Conversion: $-3x + 2y \leq -6$

$$a = \begin{pmatrix} -3 \\ 2 \end{pmatrix}, \quad b = -6$$

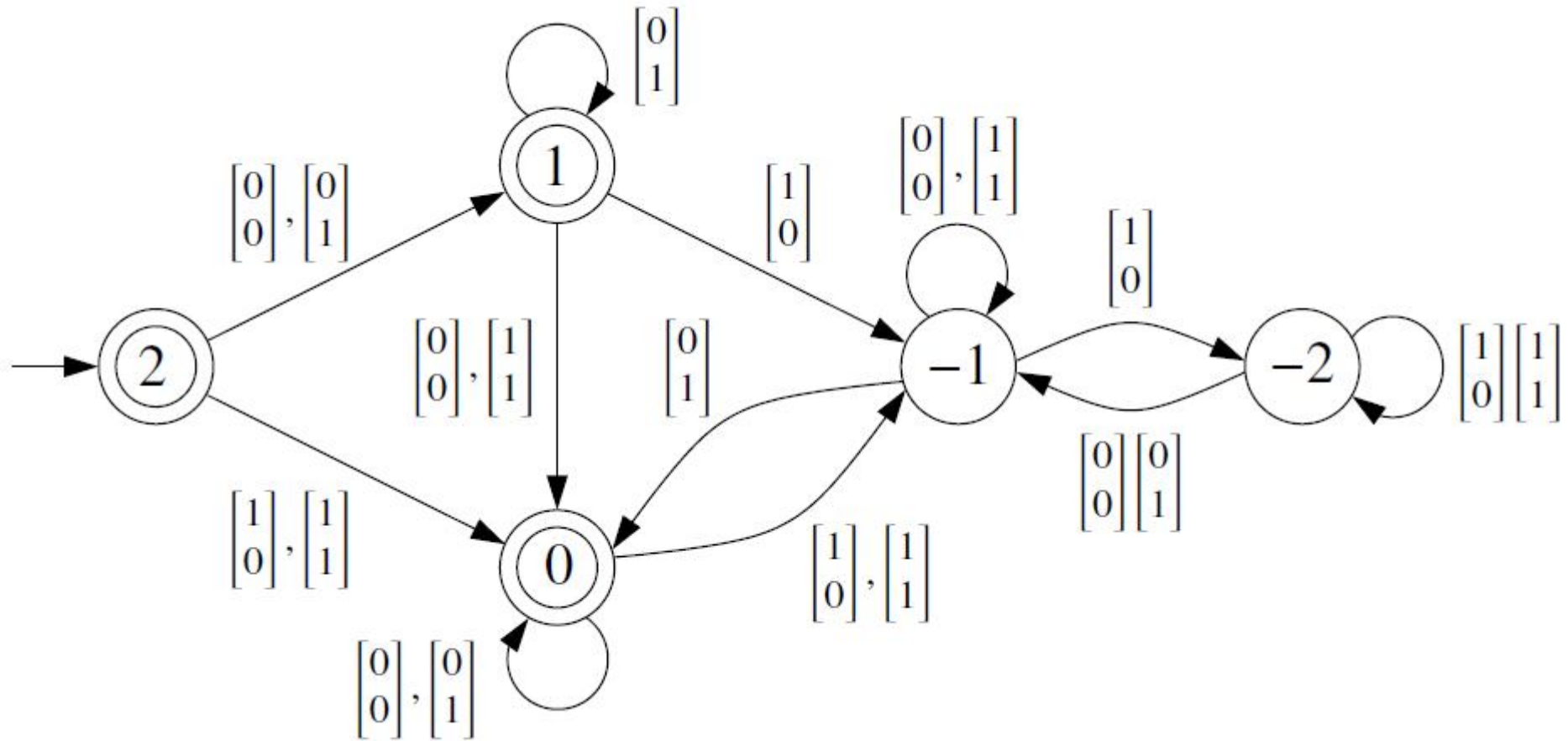
Initial state: -6

Transition from state -6 with letter $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$:

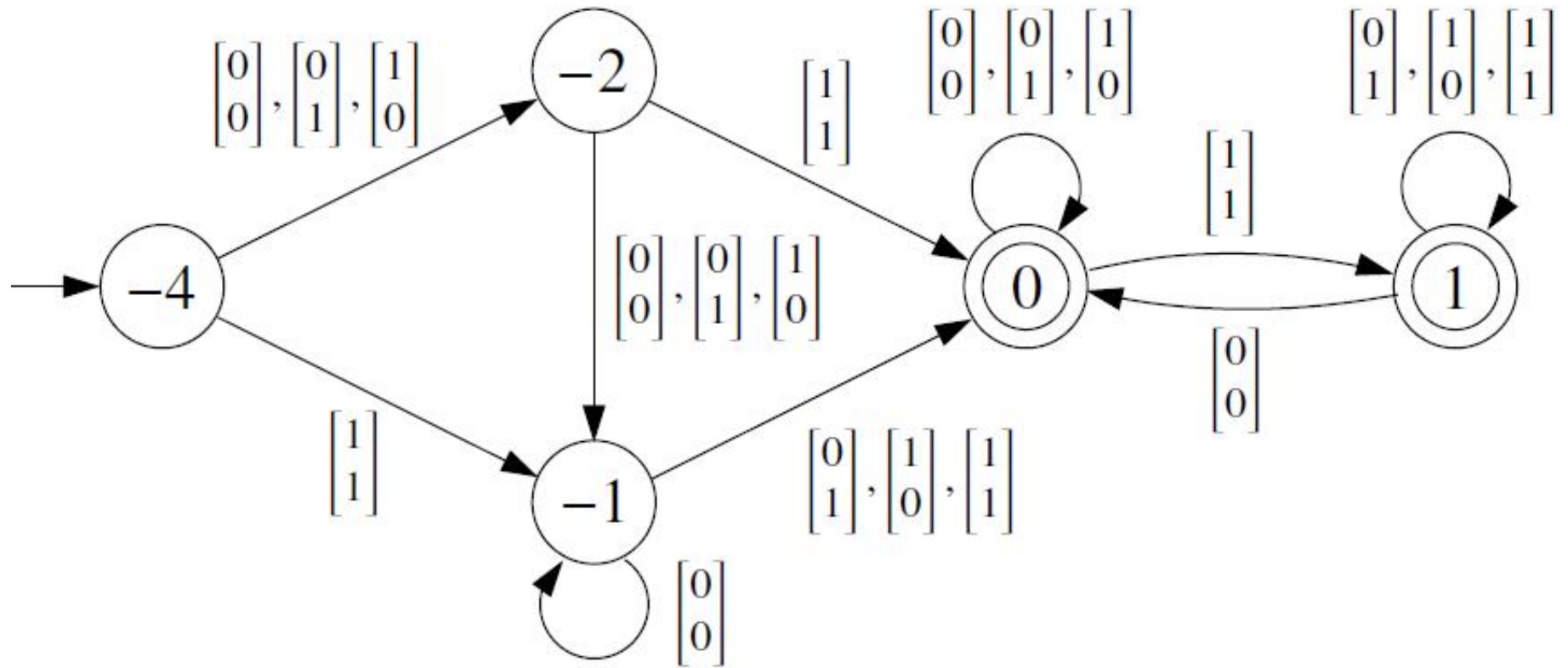
$$q' = \left\lfloor \frac{q - a \cdot \zeta}{2} \right\rfloor$$

$$q' = \left\lfloor \frac{-6 - (-3, 2) \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix}}{2} \right\rfloor = \left\lfloor \frac{-6 + 1}{2} \right\rfloor = -3$$

Example: $2x - y \leq 2$



Example: $x + y \geq 4$



Termination of *AFtoDFA*

- **Lemma:** Let $\varphi = a \cdot c \leq b$ and $s = \sum_{i=1}^n |a_i|$. All states s_j added by *AFtoDFA*(φ) satisfy

$$-|b| - s \leq j \leq |b| + s$$

Proof: Holds for the first state added: s_b

Assume s_j is added to the workset when processing s_k .

By ind. hyp.: $-|b| - s \leq k \leq |b| + s$.

Together with $j = \left\lfloor \frac{k - a \cdot \zeta}{2} \right\rfloor$ we get

$$\left\lfloor \frac{-|b| - s - a \cdot \zeta}{2} \right\rfloor \leq j \leq \left\lfloor \frac{|b| + s - a \cdot \zeta}{2} \right\rfloor$$

$$\left\lfloor \frac{-|b| - s - a \cdot \zeta}{2} \right\rfloor \leq j \leq \left\lfloor \frac{|b| + s - a \cdot \zeta}{2} \right\rfloor$$

Some arithmetic yields

$$\begin{aligned} -|b| - s &\leq \frac{-|b| - 2s}{2} \leq \left\lfloor \frac{-|b| - s - a \cdot \zeta}{2} \right\rfloor \\ \left\lfloor \frac{|b| + s - a \cdot \zeta}{2} \right\rfloor &\leq \frac{|b| + 2s}{2} \leq |b| + s \end{aligned}$$

and together we get

$$-|b| - s \leq j \leq |b| + s$$

Solving a system of inequations

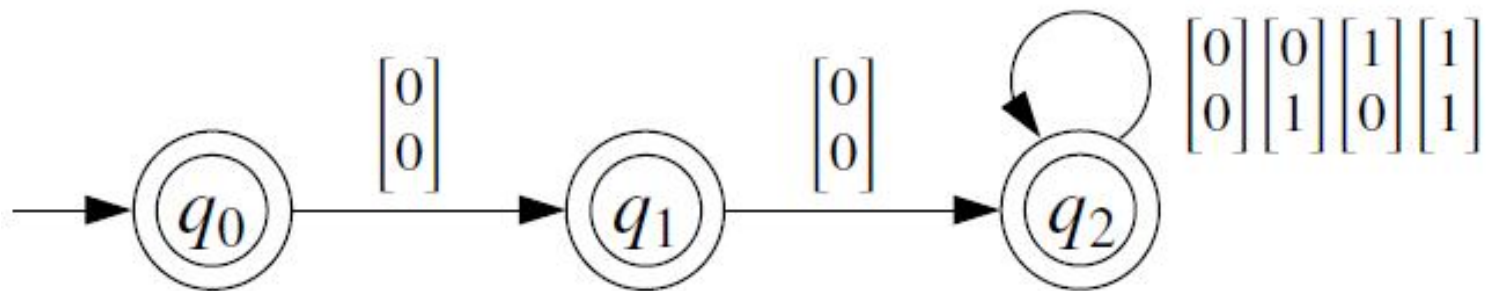
- We compute all solutions of

$$\begin{aligned}2x - y &\leq 2 \\ x + y &\geq 2\end{aligned}$$

s.t. x, y are multiples of 4. They are the solutions of

$$(\exists z \ x = 4z) \wedge (\exists w \ y = 4w) \wedge (2x - y \leq 2) \wedge (x + y \geq 4)$$

- DFA for $(\exists z \ x = 4z) \wedge (\exists w \ y = 4w)$



- Final result

