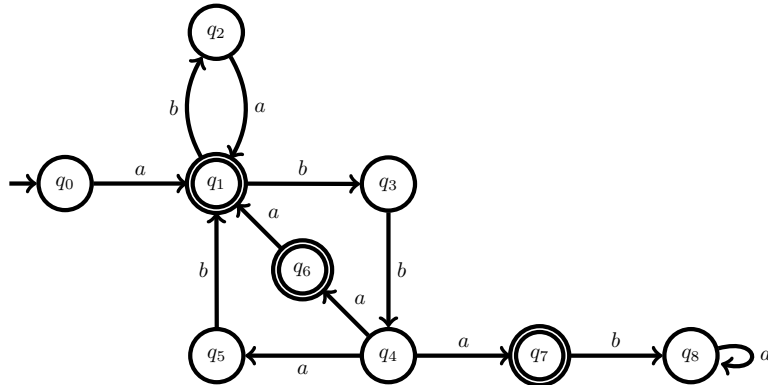


## Automata and Formal Languages — Exercise Sheet 12

### Exercise 12.1

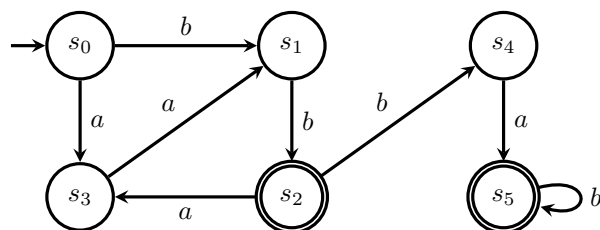
Let  $B$  be the following Büchi automaton:



- (a) Execute the emptiness algorithm *NestedDFS* on  $B$ .
- (b) Recall that *NestedDFS* is a non-deterministic algorithm and different choices of runs may return different lassos. Which lassos of  $B$  can be found by *NestedDFS*?
- (c) Show that *NestedDFS* is non optimal by exhibiting some search sequence on  $B$ .
- (d) Execute the emptiness algorithm *SCCsearch* on  $B$ .
- (e) Which lassos of  $B$  can be found by *SCCsearch*?

### Exercise 12.2

Let  $B$  be the following Büchi automaton.



- (a) For every state of  $B$ , give the discovery time and finishing time assigned by a DFS on  $B$  starting in  $s_0$  (i.e. the moment they first become grey and the moment they become black). Visit successors  $s_i$  of a given state in the ascending order of their indices  $i$ . For example, when visiting the successors of  $s_2$ , first visit  $s_3$  and later  $s_4$ .
- (b) The language of  $B$  is not empty. Give the witness lasso found by applying *NestedDFS* to  $B$  following the same convention for the order of successors as above.

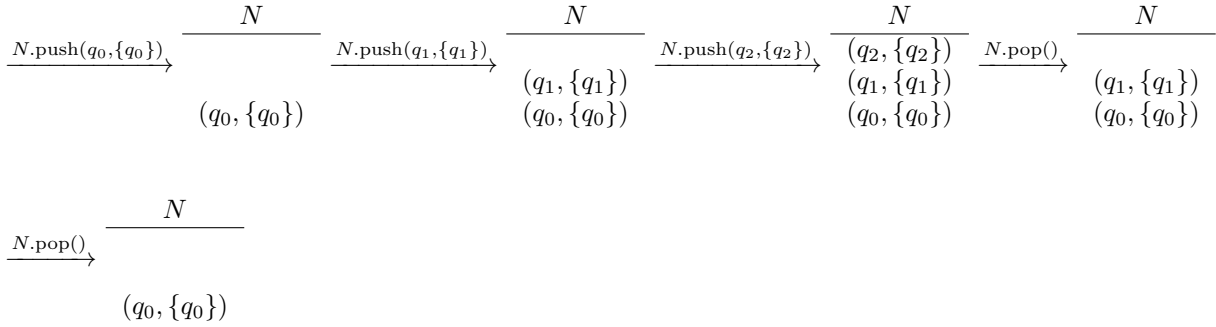
- (c) Given a non-empty NBA, we use the following definition of optimal execution of NestedDFS: the algorithm reports NONEMPTY at the earliest time such that all the states of a witness lasso have been explored. Is the execution in (b) optimal? Does there exist an optimal execution of *NestedDFS* on  $B$  with a different order for visiting successors?

**Exercise 12.3**

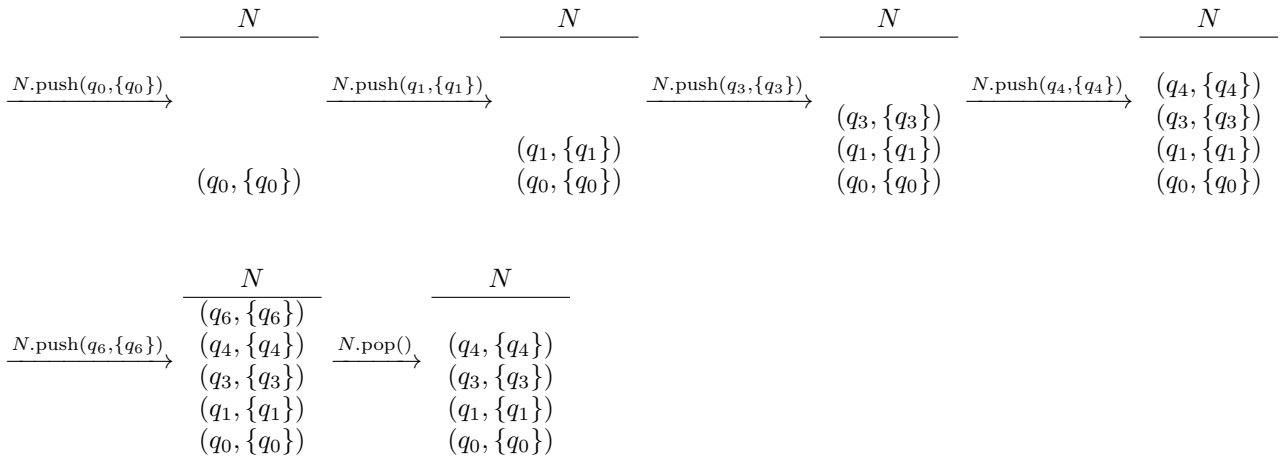
A Büchi automaton is weak if none of its strongly connected components contains both accepting and non-accepting states. Give an emptiness algorithm for weak Büchi automata. What is the complexity of the algorithm?

**Solution 12.1**

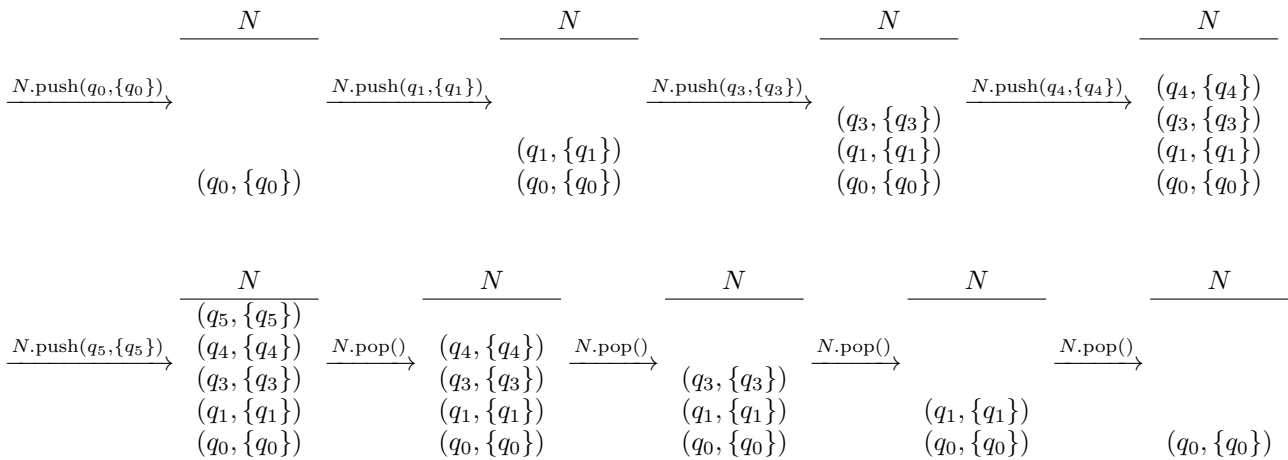
- (a) Let us assume that the algorithms always pick states in ascending order with respect to their indices. *dfs1* visits  $q_0, q_1, q_2, q_3, q_4, q_5, q_6$ , then calls *dfs2* which visits  $q_6, q_1, q_2, q_3, q_4, q_5, q_6$  and reports “non empty”.
- (b) Since  $q_7$  does not belong to any lasso, only lassos containing  $q_1$  or  $q_6$  can be found. In every run of the algorithm, *dfs1* blackens  $q_6$  before  $q_1$ . The only lasso containing  $q_6$  is:  $q_0, q_1, q_3, q_4, q_6, q_1$ . Therefore, this is the only lasso that can be found by the algorithm.
- (c) The execution given in (a) shows that *NestedDFS* is non optimal since it returns the lasso  $q_0, q_1, q_3, q_4, q_6, q_1$  even though the lasso  $q_0, q_1, q_2, q_1$  was already appearing in the explored subgraph.
- (d) Let us assume that the algorithm always pick states in ascending order with respect to their indices. The algorithm reports “non empty” after the following execution:



- (e) All of them. The lasso  $q_0, q_1, q_2, q_1$  is found by the above execution. The lasso  $q_0, q_1, q_3, q_4, q_6, q_1$  is found by the following execution:



The lasso  $q_0, q_1, q_3, q_4, q_5, q_1$  is found by the following execution:



### Solution 12.2

- a. We note "state[discovery time/finishing time]".  
 $s_0[1/12], s_1[2/11], s_2[3/10], s_3[4/5], s_4[6/9], s_5[7/8]$ .
- b. The lasso found by *NestedDFS* from  $s_0$  is  $s_0s_1s_2s_4s_5s_5$ .
- c. Given a non-empty NBA, we use the following definition of optimal execution of *NestedDFS*: the algorithm reports NONEMPTY at the earliest time such that all the states of a witness lasso have been explored.

The execution given in (b) is non optimal since it does not return the lasso  $s_0s_1s_2s_3s_1$  which already appeared in the explored subgraph.

There is no execution of *NestedDFS* which blackens  $s_2$  before  $s_5$ . But there is an execution of *NestedDFS* on  $B$  which returns the lasso  $s_0s_1s_2s_3s_4s_5s_5$  before it has visited the only other witness lasso  $s_0s_1s_2s_3s_1$  and thus is optimal: the execution which does dfs1 via  $s_0s_1s_2s_4s_5$ , blackens  $s_5$  then launches dfs2 from  $s_5$  and finds a cycle. Node  $s_3$  is not part of the explored subgraph so the algorithm reports NONEMPTY at the earliest time such that all the states of a witness lasso have been explored.

### Solution 12.3

The idea is to maintain a set  $V$  of the gray vertices: when a *dfs* meets a gray state  $r$ , by the gray-path theorem this means that there is a cycle with  $r$  in it, and since we are considering weak Büchi automata it suffices to check if  $r$  is gray. The following algorithm works in linear time:

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**Input:** Weak Büchi automaton  $B = (Q, \Sigma, \delta, q_0, F)$ .  
**Output:**  $L_\omega(B) = \emptyset?$

```
1  $S, V \leftarrow \emptyset$ 
2  $\text{dfs}(q_0)$ 
3 report "empty"
4
5  $\text{dfs}(q)$  :
6    $S.\text{add}(q)$ 
7    $V.\text{add}(q)$ 
8   for  $r \in \text{succ}(q)$  do
9     if  $r \notin S$  then
10       $\text{dfs}(r)$ 
11     else if  $r \in V$  and  $r \in F$  then
12       report "non empty"
13    $V.\text{remove}(q)$ 
```

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The space complexity is  $O(|V|)$ , as we maintain two sets  $S, V$  that can both contain at most all the nodes of the graph. The time complexity is  $O(|V| + |E|)$ , same as DFS.