

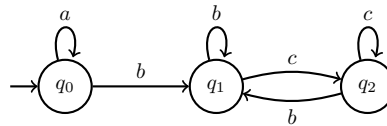
## Automata and Formal Languages — Exercise Sheet 10

### Exercise 10.1

Consider automata with the set of states  $Q = \{q_0, q_1, q_2\}$  and the acceptance conditions  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  given by the following table:

	$\{q_0\}$	$\{q_1\}$	$\{q_2\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\alpha_1$	1	0	0	1	1	0	1
$\alpha_2$	0	1	0	1	0	0	0
$\alpha_3$	1	1	0	1	0	0	0
$\alpha_4$	0	0	0	0	0	0	1

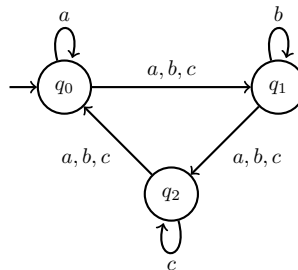
- (a) For each of the conditions determine if they are Büchi, co-Büchi, Rabin, Muller.
- (b) Can it happen that an accepting condition is neither Büchi nor co-Büchi nor Rabin nor Muller? If yes, give an example of such a condition.
- (c) Consider the following semi-automaton and acceptance conditions  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$ . What are the languages accepted by the obtained automata?



### Exercise 10.2

Let language  $L = \{w \in \{a, b\}^\omega : w \text{ contains finitely many } a\}$

- (a) Give a deterministic Rabin automaton for  $L$ .
- (b) Give an NBA for  $L$  and try to “determinize” it by using the NFA to DFA powerset construction. What is the language accepted by the resulting DBA?
- (c) What  $\omega$ -language is accepted by the following Muller automaton with acceptance condition  $\{\{q_0\}, \{q_1\}, \{q_2\}\}$ ? And with acceptance condition  $\{\{q_0, q_1\}, \{q_1, q_2\}, \{q_2, q_0\}\}$ ?



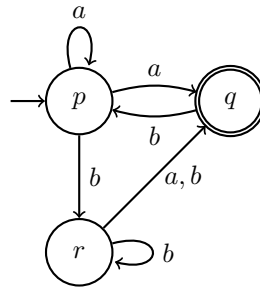
**Exercise 10.3**

Let  $L_1 = (ab)^\omega$  and let  $L_2$  be the language of all words over  $\{a, b\}$  containing infinitely many  $a$  and infinitely many  $b$ .

- (a) Exhibit three different DBAs with three states recognizing  $L_1$ .
- (b) Exhibit six different DBAs with three states recognizing  $L_2$ .
- (c) Show that no DBA with at most two states recognizes  $L_1$  or  $L_2$ .

**Exercise 10.4**

- (a) Show that for every NCA there is an equivalent NBA.
- (b) For the following NCA give an equivalent NBA, using the construction from (a):

**Exercise 10.5**

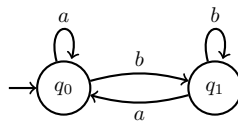
Give a procedure that translates non-deterministic Rabin automata to non-deterministic Büchi automata.

**Solution 10.1**

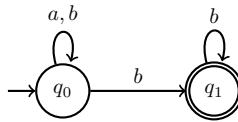
1.  $\alpha_1$  is a Büchi condition with  $F = \{q_0\}$   
 $\alpha_2$  is a Rabin condition with the set of Rabin pairs  $\{\{\{q_1\}, \{q_2\}\}\}$   
 $\alpha_3$  is a co-Büchi condition with  $F = \{q_2\}$   
 $\alpha_4$  is a Muller condition with the Muller set  $\{\{q_0, q_1, q_2\}\}$
2. No. If a condition is neither Büchi nor co-Büchi nor Rabin, then it must be Muller. A Muller condition is an arbitrary condition.
3.  $L_1$  is defined by the expression  $a^\omega$   
 $L_2$  is defined by  $a^*(bc^*)^*b^\omega$   
 $L_3$  is the union of  $L_1$  and  $L_2$   
 $L_4$  is the empty set, as we cannot have a run in which all 3 states are visited infinitely often.

**Solution 10.2**

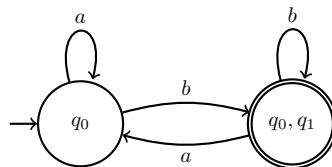
- (a) The following DRA, with acceptance condition  $\{\{\{q_1\}, \{q_0\}\}\}$ , i.e., a run is accepting iff it visits  $q_1$  infinitely often and  $q_0$  finitely often, recognizes  $L$ :



- (b) This NBA accepts  $L$ :



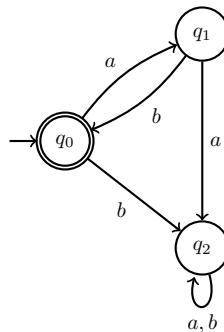
The powerset construction yields the DBA below. It recognizes the language  $\{w : w \text{ contains infinitely many } b\}$ , which is different from  $(a + b)^*b^\omega$ :



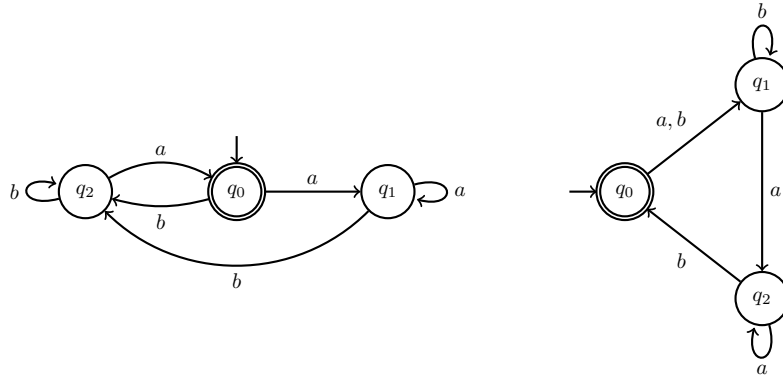
- (c) With the first acceptance condition the language is  $\Sigma^*(a^\omega + b^\omega + c^\omega)$ . With the second, the automaton does not accept any word. Indeed, every run that visits both  $q_0$  and  $q_1$  infinitely often must also visit  $q_2$  infinitely often, and the same holds for  $q_1$  and  $q_2$ , and for  $q_2$  and  $q_0$ .

**Solution 10.3**

- (a) We obtain three DBAs for  $L_1$  from the one below by making either  $q_0$ ,  $q_1$  or both accepting:



- (b) Here are two different DBAs for  $L_2$ . We obtain two further DBAs from each of these automata by making either  $q_1$  or  $q_2$  the initial state.



- (c) A DBA with a single state either accepts the empty language or  $(a+b)^\omega$  and so no single-state DBA can accept  $L_1$  or  $L_2$ . Suppose  $B$  is a two-state DBA with states  $p$  and  $q$  which accepts the language  $L_1$ . Let  $p$  be the initial state of  $B$ .

If  $q$  is not reachable from  $p$  by means of any transition, then the language accepted by  $B$  is either the empty language or  $(a+b)^\omega$ . Hence, we can assume that either  $p \xrightarrow{a} q$  or  $p \xrightarrow{b} q$ . Without loss of generality, we can assume that  $p \xrightarrow{a} q$ . Notice that either  $q \xrightarrow{a} q$  or  $q \xrightarrow{a} p$ . In either case, it is clear that if  $q$  is a final state then  $a^\omega$  will be accepted by  $B$ , leading to a contradiction as  $a^\omega \notin L_1$ . Hence,  $q$  is not a final state and so  $p$  must be a final state.

Notice that if  $p \xrightarrow{b} p$  then  $b^\omega$  will be accepted by  $B$ , once again leading to a contradiction. Hence we have  $p \xrightarrow{a} q$  and  $p \xrightarrow{b} q$ . Because of this and because of the fact that  $p$  is the only final state, it must be the case that either  $q \xrightarrow{a} p$  or  $q \xrightarrow{b} p$ . In the former case,  $a^\omega$  is accepted by  $B$  and in the latter case  $b^\omega$  is accepted by  $B$ , both leading to a contradiction.

It follows that no two-state DBA can accept  $L_1$ . If we replace  $L_1$  with  $L_2$  in the above argument, then we can also show that no two-state DBA can accept  $L_2$  as well.

#### Solution 10.4

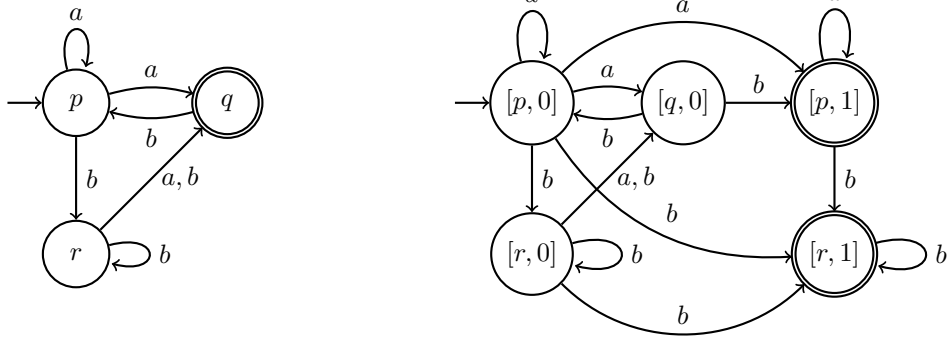
- (a) Let  $A = (Q, \Sigma, \delta, Q_0, F)$  be an NCA. We construct an NBA  $B$  which is equivalent to  $A$ . Observe that the co-Büchi accepting condition  $\text{inf}(\rho) \cap F = \emptyset$  is equivalent to  $\text{inf}(\rho) \subseteq Q \setminus F$ . This condition holds iff  $\rho$  has an infinite suffix that only visits states of  $Q \setminus F$ . We design  $B$  in two stages. In the first one, we take two copies of  $A$ , that we call  $A_0$  and  $A_1$ , and put them side by side;  $A_0$  is a full copy, containing all states and transitions of  $A$ , and  $A_1$  is a partial copy, containing only the states of  $Q \setminus F$  and the transitions between these states. We write  $[q, 0]$  to denote the copy of a state  $q \in Q$  in  $A_0$ , and  $[q, 1]$  for the copy of a state  $q \in Q \setminus F$  in  $A_1$ . In the second stage, we add some transitions that “jump” from  $A_0$  to  $A_1$ : for every transition  $[q, 0] \xrightarrow{a} [q', 0]$  of  $A_0$  such that  $q' \in Q \setminus F$ , we add a transition  $[q, 0] \xrightarrow{a} [q', 1]$  that “jumps” to  $[q', 1]$ , the “twin state” of  $[q', 0]$  in  $A_1$ . Note that  $[q, 0] \xrightarrow{a} [q', 1]$  does not replace  $[q, 0] \xrightarrow{a} [q', 0]$ , it is an *additional* transition. As initial states of  $B$ , we choose the copy of  $Q_0$  in  $A_0$ , i.e.,  $\{[q, 0] : q \in Q_0\}$ , and as accepting states all the states of  $A_1$ , i.e.,  $\{[q, 1] : q \in Q \setminus F\}$ .

It remains to show that  $L_\omega(A) = L_\omega(B)$ .

$\subseteq$ ) Let  $w \in L_\omega(A)$ . There is a run  $\rho$  of  $A$  on word  $w$  such that  $\text{inf} \rho \cap F = \emptyset$ . It follows that  $\rho = \rho_0 \rho_1$ , where  $\rho_0$  is a finite prefix of  $\rho$ , and  $\rho_1$  is an infinite suffix that only contains states of  $Q \setminus F$ . Let  $\rho'$  be the run of  $B$  on  $w$  that simulates  $\rho_0$  on  $A_0$ , and then “jumps” to  $A_1$  and simulates  $\rho_1$  in  $A_1$ . Notice that  $\rho'$  exists because  $\rho_1$  only visits states of  $Q \setminus F$ . Since all states of  $A_1$  are accepting,  $\rho'$  is an accepting run of the NBA  $B$ , and so  $w \in L_\omega(B)$ .

$\supseteq$ ) Let  $w \in L_\omega(B)$ . There is an accepting run  $\rho$  of  $B$  on word  $w$ . Thus,  $\rho$  visits states of  $A_1$  infinitely often. Since a run of  $B$  that enters  $A_1$  can never return to  $A_0$  (there are no “back-jumps” from  $A_1$  to  $A_0$ ),  $\rho$  has an infinite suffix  $\rho_1$  that only visits states of  $A_1$ , i.e., states  $[q, 1]$  such that  $q \in Q \setminus F$ . Let  $\rho'$  be the result of replacing  $[q, 0]$  and  $[q, 1]$  by  $q$  everywhere in  $\rho$ . Clearly,  $\rho'$  is a run of  $A$  on  $w$  that only visits  $F$  finitely often. Thus,  $\rho'$  is an accepting run of  $A$ , and  $w \in L_\omega(A)$ .  $\square$

- (b) The NCA below on the left is transformed into the NBA on the right:



**Solution 10.5**

Given a Rabin automaton  $A = (Q, \Sigma, Q_0, \delta, \{\langle F_0, G_0 \rangle, \dots, \langle F_{m-1}, G_{m-1} \rangle\})$ , it follows easily that  $L_\omega(A) = \bigcup_{i=0}^{m-1} L_\omega(A_i)$  where each  $A_i = (Q, \Sigma, Q_0, \delta, \{\langle F_i, G_i \rangle\})$ . So it suffices to translate each  $A_i$  into an NBA  $B_i$  and take the union of the  $B_i$ 's. For this, we use the same idea that we used for converting an NCA into an NBA (as shown in the previous exercise). To construct  $B_i$ , we take two copies of  $A_i$ , say  $A_i^0$  and  $A_i^1$ , where  $A_i^0$  is a full copy of  $A_i$  and  $A_i^1$  is a partial copy containing only the states of  $Q \setminus G_i$  and the transitions between these states. We let  $[q, i]$  denote the  $i^{\text{th}}$  copy of the state  $q$  and for every transition  $q \xrightarrow{a} q'$  in  $A_i$  with  $q' \in Q \setminus G_i$ , we add a transition  $[q, 0] \xrightarrow{a} [q', 1]$  to  $B_i$ . We set the initial states to be  $\{[q, 0], q \in Q_0\}$  and we set the final states to be  $\{[q, 1] : q \in F_i\}$ . Similar to the last exercise of the previous sheet, we can show that  $B_i$  accepts  $L_\omega(A_i)$ .