# Automata and Formal Languages — Exercise Sheet 9

# Exercise 9.1

Consider the logic PureMSO( $\Sigma$ ) with syntax

$$\varphi := X \subseteq Q_a \mid X < Y \mid X \subseteq Y \mid \neg \varphi \mid \varphi \lor \varphi \mid \exists X. \varphi$$

Notice that formulas of PureMSO( $\Sigma$ ) do not contain first-order variables. The satisfaction relation of PureMSO( $\Sigma$ ) is given by:

with the rest as for  $MSO(\Sigma)$ .

Prove that  $MSO(\Sigma)$  and  $PureMSO(\Sigma)$  have the same expressive power for sentences. That is, show that for every sentence  $\phi$  of  $MSO(\Sigma)$  there is an equivalent sentence  $\psi$  of  $PureMSO(\Sigma)$ , and vice versa.

## Exercise 9.2

Let  $r \ge 0$  and  $n \ge 1$ . Give a Presburger formula  $\varphi$  such that  $\mathcal{J} \models \varphi$  iff  $\mathcal{J}(x) > \mathcal{J}(y)$  and  $\mathcal{J}(x) - \mathcal{J}(y) \equiv r \pmod{n}$ . Give an automaton that accepts the solutions of  $\varphi$  for r = 1 and n = 2.

#### Exercise 9.3

Let  $\inf(w)$  denote the set of letters occurring infinitely often in the infinite word w. Give Büchi automata for the following  $\omega$ -languages:

- (a)  $L_1 = \{ w \in \Sigma^{\omega} : \text{in } w, \text{ every } a \text{ is immediately followed by a } b \}$  over alphabet  $\Sigma = \{a, b, c\}, d$
- (b)  $L_2 = \{ w \in \Sigma^{\omega} : w \text{ has no occurrence of } bab \} \text{ over alphabet } \Sigma = \{ a, b \},$
- (c)  $L_3 = \{ w \in \Sigma^{\omega} : \inf(w) \subseteq \{a, b\} \}$  over alphabet  $\Sigma = \{a, b, c\},\$
- (d)  $L_4 = \{ w \in \Sigma^{\omega} : \{a, b\} \subseteq \inf(w) \}$  over alphabet  $\Sigma = \{a, b, c\},\$
- (e) Prove that there is no deterministic Büchi automaton accepting  $L_3$ .

## Exercise 9.4

Prove or disprove:

- (a) For every Büchi automaton A, there exists a Büchi automaton B with a single initial state such that  $L_{\omega}(A) = L_{\omega}(B)$ .
- (b) For every Büchi automaton A, there exists a Büchi automaton B with a single accepting state such that  $L_{\omega}(A) = L_{\omega}(B)$ .
- (c) There exists a Büchi automaton recognizing the finite  $\omega$ -language  $\{w\}$  such that  $w \in \{0, 1, \dots, 9\}^{\omega}$  and  $w_i$  is the  $i^{\text{th}}$  decimal digit of  $\pi$ .

## Solution 9.1

Given a sentence  $\psi$  of PureMSO( $\Sigma$ ), let  $\phi$  be the sentence of MSO( $\Sigma$ ) obtained by replacing every subformula of  $\psi$  of the form

$$\begin{split} X &\subseteq Y \quad \text{by} \quad \forall x \ (x \in X \to x \in Y) \\ X &\subseteq Q_a \quad \text{by} \quad \forall x \ (x \in X \to Q_a(x)) \\ X &< Y \quad \text{by} \quad \forall x \ \forall y \ (x \in X \land y \in Y) \to x < y \end{split}$$

Clearly,  $\phi$  and  $\psi$  are equivalent. For the other direction, let

$$empty(X) := \forall Y X \subseteq Y$$

and

$$\operatorname{sing}(X) := \neg \operatorname{empty}(X) \land \forall Y (Y \subseteq X) \to (\operatorname{empty}(Y) \lor Y = X).$$

Intuitively, empty(X) is true iff X is the empty set and sing(X) is true iff X is a set of size one.

Let  $\phi$  be a sentence of MSO( $\Sigma$ ). Assume without loss of generality that for every first-order variable x the second-order variable X does not appear in  $\phi$  (if necessary, rename second-order variables appropriately). Let  $\psi$  be the sentence of PureMSO( $\Sigma$ ) obtained by replacing every subformula of  $\phi$  of the form

$$\begin{array}{lll} \exists x \ \psi' & \mbox{by} & \exists X \left( \operatorname{sing}(X) \land \psi'[X/x] \right) \\ & & \mbox{where} \ \psi'[X/x] \mbox{ is the result of substituting } X \mbox{ for } x \mbox{ in } \psi' \\ Q_a(x) & \mbox{by} & X \subseteq Q_a \\ x < y & \mbox{ by} & X < Y \\ x \in Y & \mbox{ by} & X \subseteq Y \end{array}$$

Clearly,  $\phi$  and  $\psi$  are equivalent.

#### Solution 9.2

Let  $0 \le r' < n$  such that  $r' \equiv r \pmod{n}$ . Since *n* and *r* are fixed constants, *r'* is also a fixed constant. Further, since *n* is a constant, we can multiply a variable by *n* via iterated addition. The required formula is then given by:

$$\varphi(x,y) := (x > y) \land \exists a \ (x = y + n \cdot a + r').$$

Let  $k \in \mathbb{N}$  and  $x, y \in \Sigma^k$  be LSBF encodings of some naturals. First note that  $\operatorname{val}(x) - \operatorname{val}(y) \equiv 1 \pmod{2}$  iff  $\operatorname{val}(x)$  and  $\operatorname{val}(y)$  are such that one is odd and the other one is even. Thus, the first bit of x and y should be different. Moreover,  $\operatorname{val}(x) > \operatorname{val}(y)$  iff there exists  $\ell \in \{1, \ldots, k\}$  such that  $x_\ell = 1, y_\ell = 0$ , and  $x_i \geq y_i$  for every  $\ell < i \leq k$ . These observations yield the following automaton:



Solution 9.3 These are just some possible solutions.

or



(e) For the sake of contradiction, suppose there exists a deterministic Büchi automaton  $B = (Q, \Sigma, \delta, q_0, F)$ such that  $L_{\omega}(B) = L_3$ . Since  $cb^{\omega} \in L_3$ , B must visit F infinitely often when reading  $cb^{\omega}$ . In particular, this implies the existence of  $m_1 > 0$  and  $q_1 \in F$  such that  $q_0 \xrightarrow{cb^{m_1}} q_1$ . Similarly, since  $cb^{m_1}cb^{\omega} \in L_3$ , there exist  $m_2 > 0$  and  $q_2 \in F$  such that  $q_0 \xrightarrow{cb^{m_1}cb^{m_2}} q_2$ . Since B is deterministic, we have  $q_0 \xrightarrow{cb^{m_1}} q_1 \xrightarrow{cb^{m_2}} q_2$ . By repeating this argument |Q| times, we can construct  $m_1, m_2, \ldots, m_{|Q|} > 0$  and  $q_1, q_2, \ldots, q_{|Q|} \in F$  such that

$$q_0 \xrightarrow{cb^{m_1}} q_1 \xrightarrow{cb^{m_2}} q_2 \cdots \xrightarrow{cb^{m_{|Q|}}} q_{|Q|}.$$

By the pigeonhole principle, there exist  $0 \le i < j \le |Q|$  such that  $q_i = q_j$ . Let

$$u = cb^{m_1}cb^{m_2}\cdots cb^{m_i},$$
  
$$v = cb^{m_{i+1}}cb^{m_{i+2}}\cdots cb^{m_j}.$$

We have  $q_0 \xrightarrow{u} q_i \xrightarrow{v} q_i \xrightarrow{v} q_i \xrightarrow{v} \cdots$  which implies that  $uv^{\omega} \in L_{\omega}(B)$ . Also notice that c appears infinitely often in  $uv^{\omega}$ , that is,  $c \in \inf(uv^{\omega})$ . Therefore we have  $uv^{\omega} \notin L_3 = L_{\omega}(B)$ , which yields a contradiction.  $\Box$ 

## Solution 9.4

(a) True. The construction for NFAs still work for Büchi automata.

Let  $B = (Q, \Sigma, \delta, Q_0, F)$  be a Büchi automaton. We add a state to Q which acts as the single initial state. More formally, we define  $B' = (Q \cup \{q_{init}\}, \Sigma, \delta', \{q_{init}\}, F)$  where

$$\delta'(q, a) = \begin{cases} \bigcup_{q_0 \in Q_0} \delta(q_0, a) & \text{if } q = q_{\text{init}}, \\ \delta(q, a) & \text{otherwise.} \end{cases}$$

We have  $L_{\omega}(B) = L_{\omega}(B')$ , since there exists  $q_0 \in Q_0$  such that

$$q_0 \xrightarrow{a_1}_B q_1 \xrightarrow{a_2}_B q_2 \xrightarrow{a_3}_B \cdots$$

if and only if

$$q_{\text{init}} \xrightarrow{a_1}_{B'} q_1 \xrightarrow{a_2}_{B'} q_2 \xrightarrow{a_3}_{B'} \cdots$$

(b) False. Let  $L = \{a^{\omega}, b^{\omega}\}$ . Suppose there exists a Büchi automaton  $B = (Q, \{a, b\}, \delta, Q_0, F)$  such that  $L_{\omega}(B) = L$  and  $F = \{q\}$ . Since  $a^{\omega} \in L$ , there exist  $q_0 \in Q_0, m \ge 0$  and n > 0 such that

$$q_0 \xrightarrow{a^m} q \xrightarrow{a^n} q$$
.

Similarly, since  $b^{\omega} \in L$ , there exist  $q'_0 \in Q_0$ ,  $m' \ge 0$  and n' > 0 such that

$$q'_0 \xrightarrow{b^{m'}} q \xrightarrow{b^{n'}} q$$

This implies that

$$q_0 \xrightarrow{a^m} q \xrightarrow{b^{n'}} q \xrightarrow{b^{n'}} \cdots$$

Therefore,  $a^m (b^{n'})^{\omega} \in L$ , which is a contradiction.

(c) False. Suppose there exists a Büchi automaton  $B = (Q, \{0, 1, \dots, 9\}, \delta, Q_0, F)$  such that  $L_{\omega}(B) = \{w\}$ . There exist  $u \in \{0, 1, \dots, 9\}^*$ ,  $v \in \{0, 1, \dots, 9\}^+$ ,  $q_0 \in Q_0$  and  $q \in F$  such that

$$q_0 \xrightarrow{u} q \xrightarrow{v} q$$
.

Therefore,  $uv^{\omega} \in L_{\omega}(B)$  which implies that  $w = uv^{\omega}$ . Since w is the decimal representation of  $\pi$ , we conclude that  $\pi$  is rational, which is a contradiction.