

## Automata and Formal Languages — Exercise Sheet 9

### Exercise 9.1

- (a) Give a formula `Block_between` of  $\text{MSO}(\Sigma)$  such that  $\text{Block\_between}(X, i, j)$  holds whenever  $X = \{i, i + 1, \dots, j\}$ .
- (b) Let  $0 \leq m < n$ . Give a formula  $\text{Mod}^{m,n}$  of  $\text{MSO}(\Sigma)$  such that  $\text{Mod}^{m,n}(i, j)$  holds whenever  $|w_i w_{i+1} \cdots w_j| \equiv m \pmod{n}$ , i.e. whenever  $j - i + 1 \equiv m \pmod{n}$ .
- (c) Let  $0 \leq m < n$ . Give a sentence of  $\text{MSO}(\Sigma)$  that defines  $a^m(a^n)^*$ .
- (d) Give a sentence of  $\text{MSO}(\{a, b\})$  that defines the language of words such that every two  $b$ 's with no other  $b$  in between are separated by a block of  $a$ 's of odd length.

### Exercise 9.2

Let  $\text{inf}(w)$  denote the set of letters occurring infinitely often in the infinite word  $w$ . Give Büchi automata and  $\omega$ -regular expressions for the following  $\omega$ -languages:

- (a)  $L_1 = \{w \in \Sigma^\omega : \text{in } w, \text{ every } a \text{ is immediately followed by a } b\}$  over alphabet  $\Sigma = \{a, b, c\}$ ,
- (b)  $L_2 = \{w \in \Sigma^\omega : w \text{ has no occurrence of } bab\}$  over alphabet  $\Sigma = \{a, b\}$ ,
- (c)  $L_3 = \{w \in \Sigma^\omega : \text{inf}(w) \subseteq \{a, b\}\}$  over alphabet  $\Sigma = \{a, b, c\}$ ,
- (d)  $L_4 = \{w \in \Sigma^\omega : \{a, b\} \subseteq \text{inf}(w)\}$  over alphabet  $\Sigma = \{a, b, c\}$ ,
- (e) Does there exist a deterministic Büchi automaton accepting  $L_3$ ? If there is then give it, otherwise give a proof of why it is not true.

### Exercise 9.3

Prove or disprove:

- (a) For every Büchi automaton  $A$ , there exists a Büchi automaton  $B$  with a single initial state and such that  $L_\omega(A) = L_\omega(B)$ ;
- (b) For every Büchi automaton  $A$ , there exists a Büchi automaton  $B$  with a single accepting state and such that  $L_\omega(A) = L_\omega(B)$ ;
- (c) There exists a Büchi automaton recognizing the finite  $\omega$ -language  $\{w\}$  such that  $w \in \{0, 1, \dots, 9\}^\omega$  and  $w_i$  is the  $i^{\text{th}}$  decimal of  $\sqrt{2}$ .

### Exercise 9.4

Recall that every finite set of finite words is a regular language. Prove that this does not hold for infinite words. More precisely:

- (a) Prove that every nonempty  $\omega$ -regular language contains an *ultimately periodic*  $\omega$ -word, i.e., an  $\omega$ -word of the form  $uv^\omega$  for some finite words  $u \in \Sigma^*$  and  $v \in \Sigma^+$ .
- (b) Give an  $\omega$ -word  $w$  such that  $\{w\}$  is not an  $\omega$ -regular language.

*Hint: use (a).*

**Solution 9.1**

(a)  $\text{Block\_between}(X, i, j) := \forall x (x \in X) \leftrightarrow (i \leq x \wedge x \leq j)$ .

(b) The idea is to construct the set of positions  $\{i + m + k \cdot n \mid k \geq 0\}$  and check if  $j + 1$  is in the set.

$\text{Mod}^{m,n}(i, j) := \exists x \exists y (x = m + i \wedge y = j + 1 \wedge \text{Mult}^n(x, y))$  where

$\text{Mult}^n(a, b) := \exists X (b \in X) \wedge \forall x (x \in X) \leftrightarrow [(x = a) \vee (\exists y \in X x = y + n)]$ .

(c)  $\underbrace{[(m = 0) \wedge (\neg \exists x \text{ first}(x))]}_{\text{empty word if } m=0} \vee [\forall x Q_a(x) \wedge \exists x \exists y \text{ first}(x) \wedge \text{last}(y) \wedge \text{Mod}^{m,n}(x, y)]$ .

(d)  $\forall x \forall y [\varphi(x, y) \rightarrow \psi(x, y)]$  where

$\varphi(x, y) := (x < y) \wedge Q_b(x) \wedge Q_b(y) \wedge [\forall z (x < z \wedge z < y) \rightarrow \neg Q_b(z)]$ ,

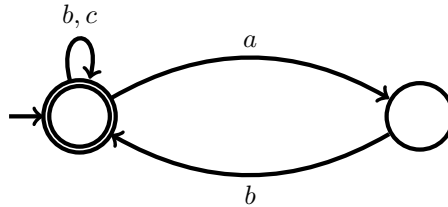
$\psi(x, y) := [\forall z (x < z \wedge z < y) \rightarrow Q_a(z)] \wedge \text{Mod}^{1,2}(x, y)$ .

In fact, since the question states that the alphabet is  $\{a, b\}$ , we can remove  $\forall z (x < z \wedge z < y) \rightarrow Q_a(z)$  from  $\psi(x, y)$ .

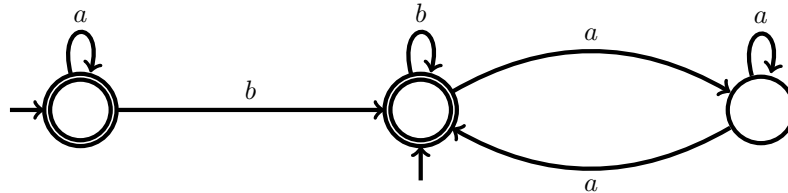
**Solution 9.2**

These are just some possible solutions.

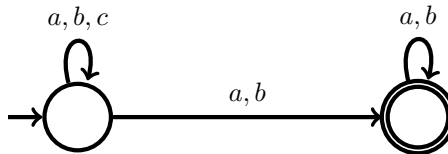
(a)  $((b + c)^*(ab)^*)^\omega$ , and



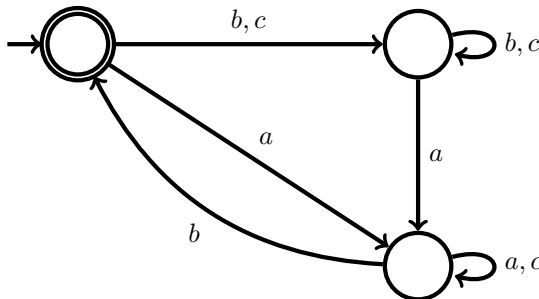
(b)  $a^*(b^*(\epsilon + aaa^*))^\omega$ , and



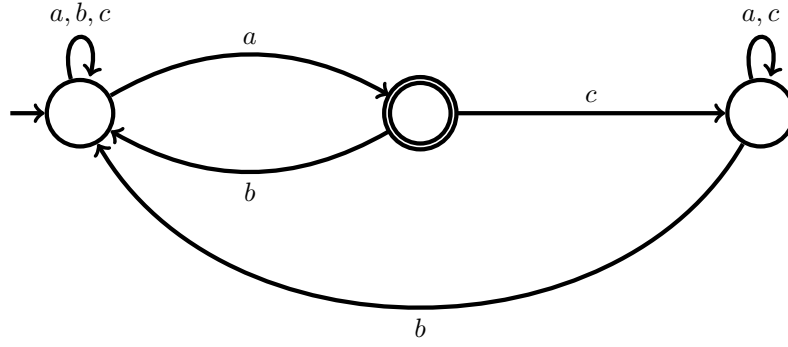
(c)  $(a + b + c)^*(a + b)^\omega$ , and



(d)  $((b + c)^*a(a + c)^*b)^\omega$ , and



or



- (e) It is asked whether there exists a deterministic Büchi automaton accepting  $L_3$ . We show that it is *not* the case. For the sake of contradiction, suppose there exists a deterministic Büchi automaton  $B = (Q, \Sigma, \delta, q_0, F)$  such that  $L_\omega(B) = L_3$ . Since  $cb^\omega \in L_3$ ,  $B$  must visit  $F$  infinitely often when reading  $cb^\omega$ . In particular, this implies the existence of  $m_1 > 0$  and  $q_1 \in F$  such that  $q_0 \xrightarrow{cb^{m_1}} q_1$ . Similarly, since  $cb^{m_1}cb^\omega \in L_3$ , there exist  $m_2 > 0$  and  $q_2 \in F$  such that  $q_0 \xrightarrow{cb^{m_1}cb^{m_2}} q_2$ . Since  $B$  is deterministic, we have  $q_0 \xrightarrow{cb^{m_1}} q_1 \xrightarrow{cb^{m_2}} q_2$ . By repeating this argument  $|Q|$  times, we can construct  $m_1, m_2, \dots, m_{|Q|} > 0$  and  $q_1, q_2, \dots, q_{|Q|} \in F$  such that

$$q_0 \xrightarrow{cb^{m_1}} q_1 \xrightarrow{cb^{m_2}} q_2 \cdots \xrightarrow{cb^{m_{|Q|}}} q_{|Q|}.$$

By the pigeonhole principle, there exist  $0 \leq i < j \leq |Q|$  such that  $q_i = q_j$ . Let

$$\begin{aligned} u &= cb^{m_1}cb^{m_2} \cdots cb^{m_i}, \\ v &= cb^{m_{i+1}}cb^{m_{i+2}} \cdots cb^{m_j}. \end{aligned}$$

We have  $q_0 \xrightarrow{u} q_i \xrightarrow{v} q_i \xrightarrow{v} q_i \xrightarrow{v} \cdots$  which implies that  $uv^\omega \in L_\omega(B)$ . Also notice that  $c$  appears infinitely often in  $uv^\omega$ , that is,  $c \in \inf(uv^\omega)$ . Therefore we have  $uv^\omega \notin L_3 = L_\omega(B)$ , which yields a contradiction.  $\square$

### Solution 9.3

- (a) True. The construction for NFAs still work for Büchi automata.

Let  $B = (Q, \Sigma, \delta, Q_0, F)$  be a Büchi automaton. We add a state to  $Q$  which acts as the single initial state. More formally, we define  $B' = (Q \cup \{q_{\text{init}}\}, \Sigma, \delta', \{q_{\text{init}}\}, F)$  where

$$\delta'(q, a) = \begin{cases} \bigcup_{q_0 \in Q_0} \delta(q_0, a) & \text{if } q = q_{\text{init}}, \\ \delta(q, a) & \text{otherwise.} \end{cases}$$

We have  $L_\omega(B) = L_\omega(B')$ , since there exists  $q_0 \in Q_0$  such that

$$q_0 \xrightarrow{a_1}_B q_1 \xrightarrow{a_2}_B q_2 \xrightarrow{a_3}_B \cdots$$

if and only if

$$q_{\text{init}} \xrightarrow{a_1}_{B'} q_1 \xrightarrow{a_2}_{B'} q_2 \xrightarrow{a_3}_{B'} \cdots$$

- (b) False. Let  $L = \{a^\omega, b^\omega\}$ . Suppose there exists a Büchi automaton  $B = (Q, \{a, b\}, \delta, Q_0, F)$  such that  $L_\omega(B) = L$  and  $F = \{q\}$ . Since  $a^\omega \in L$ , there exist  $q_0 \in Q_0$ ,  $m \geq 0$  and  $n > 0$  such that

$$q_0 \xrightarrow{a^m} q \xrightarrow{a^n} q.$$

Similarly, since  $b^\omega \in L$ , there exist  $q'_0 \in Q_0$ ,  $m' \geq 0$  and  $n' > 0$  such that

$$q'_0 \xrightarrow{b^{m'}} q \xrightarrow{b^{n'}} q.$$

This implies that

$$q_0 \xrightarrow{a^m} q \xrightarrow{b^{n'}} q \xrightarrow{b^{n'}} \cdots$$

Therefore,  $a^m(b^{n'})^\omega \in L$ , which is a contradiction.  $\square$

- (c) False. Suppose there exists a Büchi automaton  $B = (Q, \{0, 1, \dots, 9\}, \delta, Q_0, F)$  such that  $L_\omega(B) = \{w\}$ . There exist  $u \in \{0, 1, \dots, 9\}^*$ ,  $v \in \{0, 1, \dots, 9\}^+$ ,  $q_0 \in Q_0$  and  $q \in F$  such that

$$q_0 \xrightarrow{u} q \xrightarrow{v} q.$$

Therefore,  $uv^\omega \in L_\omega(B)$  which implies that  $w = uv^\omega$ . Since  $w$  represents the decimals of  $\sqrt{2}$ , we conclude that  $\sqrt{2}$  is rational, which is a contradiction.  $\square$

#### Solution 9.4

- (a) Let  $L$  be a nonempty  $\omega$ -regular language and let  $B = (Q, \{0, 1\}, \delta, Q_0, F)$  be an NBA that recognizes  $L$ . Since  $Q$  is finite, there exist  $u \in \Sigma^*$ ,  $v \in \Sigma^+$ ,  $q_0 \in Q_0$  and  $q \in F$  such that

$$q_0 \xrightarrow{u} q \xrightarrow{v} q.$$

Consequently, we have  $uv^\omega \in L$  by iterating  $v$  from state  $q$ .  $\square$

- (b) Let  $w \in \{0, 1\}^\omega$  be the word given by

$$w_i = \begin{cases} 1 & \text{if } i \text{ is a square,} \\ 0 & \text{otherwise.} \end{cases}$$

We prove that  $w$  is not ultimately periodic, which, by (a), implies that  $\{w\}$  is not  $\omega$ -regular. For the sake of contradiction, suppose  $w = uv^\omega$  for some  $u \in \{0, 1\}^*$  and  $v \in \{0, 1\}^+$ . If  $v \in 0^*$ , then we obtain a contradiction. Thus, there exists  $1 \leq i \leq |v|$  such that  $v_i = 1$ . Let  $m = |u| + i$  and  $n = |v|$ . By definition of  $w$ ,  $m + j \cdot n$  is a square for every  $j \geq 0$ . In particular, there exist  $0 < a < b$  such that

$$m + n \cdot n = a^2 \text{ and } m + n \cdot n + n = b^2.$$

Note that  $a \geq n$ . Moreover,

$$b^2 = a^2 + n \leq a^2 + a < a^2 + 2a + 1 = (a + 1)^2.$$

Therefore  $a^2 < b^2 < (a + 1)^2$  which is a contradiction.  $\square$