

## Automata and Formal Languages — Exercise Sheet 7

### Exercise 7.1

Let  $\text{val} : \{0, 1\}^* \rightarrow \mathbb{N}$  be the function that associates to every word  $w \in \{0, 1\}^*$  the number  $\text{val}(w)$  represented by  $w$  in the *least significant bit first* encoding.

- (a) Give a transducer that doubles numbers, i.e. a transducer accepting

$$L_1 = \{[x, y] \in (\{0, 1\} \times \{0, 1\})^* \mid \text{val}(y) = 2 \cdot \text{val}(x)\}.$$

- (b) Give an algorithm that takes  $k \in \mathbb{N}$  as input, and that produces a transducer  $A_k$  accepting

$$L_k = \{[x, y] \in (\{0, 1\} \times \{0, 1\})^* \mid \text{val}(y) = 2^k \cdot \text{val}(x)\}.$$

*Hint: use (a) and consider operations seen in class.*

- (c) Give a transducer for the addition of two numbers, i.e. a transducer accepting

$$\{[x, y, z] \in (\{0, 1\} \times \{0, 1\} \times \{0, 1\})^* \mid \text{val}(z) = \text{val}(x) + \text{val}(y)\}.$$

- (d) For every  $k \in \mathbb{N}_{>0}$ , let

$$X_k = \{[x, y] \in (\{0, 1\} \times \{0, 1\})^* \mid \text{val}(y) = k \cdot \text{val}(x)\}.$$

Sketch an algorithm that takes as input transducers  $A$  and  $B$ , accepting respectively  $X_a$  and  $X_b$  for some  $a, b \in \mathbb{N}_{>0}$ , and that produces a transducer  $C$  accepting  $X_{a+b}$ .

- (e) Let  $k \in \mathbb{N}_{>0}$ . Using (b) and (d), how can you build a transducer accepting  $X_k$ ?

- (f) Show that the following language has infinitely many residuals, and hence that it is not regular:

$$\{[x, y] \in (\{0, 1\} \times \{0, 1\})^* \mid \text{val}(y) = \text{val}(x)^2\}.$$

### Exercise 7.2

Let  $L_1 = \{bba, aba, bbb\}$  and  $L_2 = \{aba, abb\}$ .

- (a) Give an algorithm for the following operation:

INPUT: A fixed-length language  $L \subseteq \Sigma^k$  described explicitly as a set of words.  
OUTPUT: State  $q$  of the master automaton over  $\Sigma$  such that  $L(q) = L$ .

- (b) Use the previous algorithm to build the states of the master automaton for  $L_1$  and  $L_2$ .

- (c) Compute the state of the master automaton representing  $L_1 \cup L_2$ .

- (d) Identify the kernels  $\langle L_1 \rangle$ ,  $\langle L_2 \rangle$ , and  $\langle L_1 \cup L_2 \rangle$ .

### Exercise 7.3

We define the *language* of a Boolean formula  $\varphi$  over variables  $x_1, \dots, x_n$  as:

$$\mathcal{L}(\varphi) = \{a_1 a_2 \dots a_n \in \{0, 1\}^n : \text{the assignment } x_1 \mapsto a_1, \dots, x_n \mapsto a_n \text{ satisfies } \varphi\}.$$

- (a) Give a polynomial-time algorithm that takes as input a DFA  $A$  recognizing a language of length  $n$ , and returns a Boolean formula  $\varphi$  such that  $\mathcal{L}(\varphi) = \mathcal{L}(A)$ .

- (b) Give an exponential-time algorithm that takes a Boolean formula  $\varphi$  as input, and returns a DFA  $A$  recognizing  $\mathcal{L}(\varphi)$ .

**Solution 7.1**

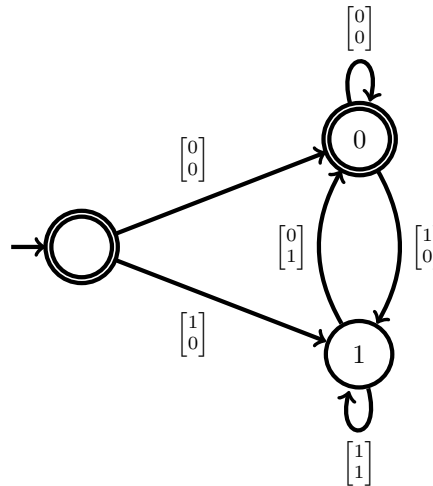
- (a) Let  $[x_1x_2 \cdots x_n, y_1y_2 \cdots y_n] \in (\{0, 1\} \times \{0, 1\})^n$  where  $n \geq 2$ . Multiplying a binary number by two shifts its bits and adds a zero. For example, the word

$$\begin{bmatrix} 10110 \\ 01011 \end{bmatrix}$$

belongs to the language since it encodes  $[13, 26]$ . Thus, we have  $\text{val}(y) = 2 \cdot \text{val}(x)$  if and only if  $y_1 = 0$ ,  $x_n = 0$ , and  $y_i = x_{i-1}$  for every  $1 < i \leq n$ . From this observation, we construct a transducer that

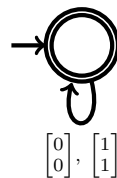
- tests whether the first bit of  $y$  is 0,
- tests whether  $y$  is consistent with  $x$ , by keeping the last bit of  $x$  in memory,
- accepts  $[x, y]$  if the last bit of  $x$  is 0.

Note that words  $[\varepsilon, \varepsilon]$  and  $[0, 0]$  both encode the numerical values  $[0, 0]$ . Therefore, they should also be accepted since  $2 \cdot 0 = 0$ . We obtain the following transducer:



★ The initial state can be merged with state 0 as they have the same outgoing transitions.

- (b) We construct  $A_0$  as the following transducer accepting  $\{[x, y] \in (\{0, 1\} \times \{0, 1\})^* : y = x\}$ :



Let  $A_1$  be the transducer obtained in (a). For every  $k > 1$ , we define  $A_k = \text{Join}(A_{k-1}, A_1)$ . A simple induction shows that  $L(A_k) = L_k$  for every  $k \in \mathbb{N}$ .

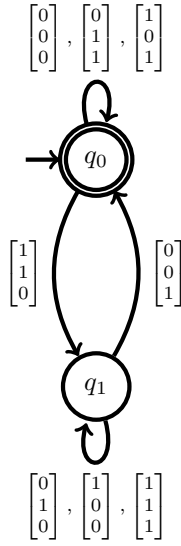
- (c) We construct a transducer that computes the addition by keeping the current carry bit. Consider some tuple  $[x, y, z] \in \{0, 1\}^3$  and a carry bit  $r$ . Adding  $x, y$  and  $r$  leads to the bit

$$z = (x + y + r) \bmod 2. \tag{1}$$

Moreover, it yields a new carry bit  $r'$  such that  $r' = 1$  if  $x + y + r > 1$  and  $r' = 0$  otherwise. The following table identifies the new carry bit  $r'$  of the tuples that satisfy (1):

	$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$
$r = 0$	0	x	x	0	x	0	1	x
$r = 1$	x	0	1	x	1	x	x	1

We construct our transducer from the above table:



(d) We construct a transducer  $C$  that, intuitively, feeds its input to both  $A$  and  $B$ , and then feed the respective outputs of  $A$  and  $B$  to a transducer performing addition. More formally, let  $A = (Q_A, \{0, 1\}, \delta_A, q_{0A}, F_A)$ ,  $B = (Q_B, \{0, 1\}, \delta_B, q_{0B}, F_B)$ , and let  $D = (Q_D, \{0, 1\}, \delta_D, q_{0D}, F_D)$  be the transducer for addition obtained in (c). We define  $C$  as  $C = (Q_C, \{0, 1\}, \delta_C, q_{0C}, F_C)$  where

- $Q_C = Q_A \times Q_B \times Q_D$ ,
- $q_{0C} = (q_{0A}, q_{0B}, q_{0D})$ ,
- $F_C = F_A \times F_B \times F_D$ ,

and

$$\delta_C((p, p', p''), [x, z]) = \{(q, q', q'') : \exists y, y' \in \{0, 1\} \text{ s.t. } p \xrightarrow{[x, y]}_A q, p' \xrightarrow{[x, y']}_B q' \text{ and } p'' \xrightarrow{[y, y', z]}_D q''\}.$$

(e) Let  $\ell = \lceil \log_2(k) \rceil$ . There exist  $c_0, c_1, \dots, c_\ell \in \{0, 1\}$  such that  $k = c_0 \cdot 2^0 + c_1 \cdot 2^1 + \dots + c_\ell \cdot 2^\ell$ . Let  $I = \{0 \leq i \leq \ell : c_i = 1\}$ . Note that  $k = \sum_{i \in I} 2^i$ . Therefore, we may use transducer  $A_i$  from (b) for each  $i \in I$ , and combine these transducers using (d).

(f) For every  $n \in \mathbb{N}_{>0}$ , let

$$u_n = \begin{bmatrix} 0^n 1 \\ 0^n 0 \end{bmatrix} \text{ and } v_n = \begin{bmatrix} 0^{n-1} 0 \\ 0^{n-1} 1 \end{bmatrix}.$$

Let  $i, j \in \mathbb{N}_{>0}$  be such that  $i \neq j$ . We claim that  $L^{u_i} \neq L^{u_j}$ . We have

$$u_i v_i = \begin{bmatrix} 0^i 1 0^i \\ 0^{2i} 1 \end{bmatrix} \text{ and } u_j v_j = \begin{bmatrix} 0^j 1 0^j \\ 0^{i+j} 1 \end{bmatrix}.$$

Therefore,  $u_i v_i$  encodes  $[2^i, 2^{2i}]$ , and  $u_j v_j$  encodes  $[2^j, 2^{i+j}]$ . We observe that  $u_i v_i$  belongs to the language since  $2^{2i} = (2^i)^2$ . However,  $u_j v_j$  does not belong to the language since  $2^{i+j} \neq 2^{2j} = (2^j)^2$ .  $\square$

### Solution 7.2

(a)

(b) Executing `add-lang( $L_1$ )` yields the following computation tree:

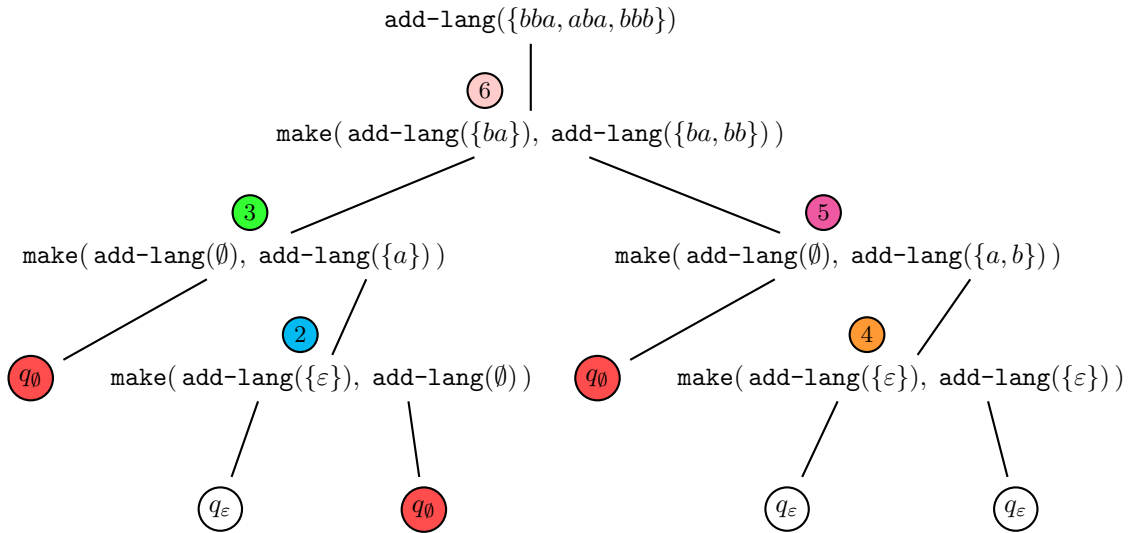
**Input:** A fixed-length language  $L \subseteq \Sigma^k$  described explicitly by a set of words.

**Output:** State  $q$  of the master automaton over  $\Sigma$  such that  $L(q) = L$ .

```

1 add-lang( $L$ ):
2   if  $L = \emptyset$  then
3     return  $q_\emptyset$ 
4   else if  $L = \{\varepsilon\}$  then
5     return  $q_\varepsilon$ 
6   else
7     for  $a_i \in \Sigma$  do
8        $L^{a_i} \leftarrow \{u \mid a_i u \in L\}$ 
9        $s_i \leftarrow \text{add-lang}(L^{a_i})$ 
10    return make( $s_1, s_2, \dots, s_n$ )

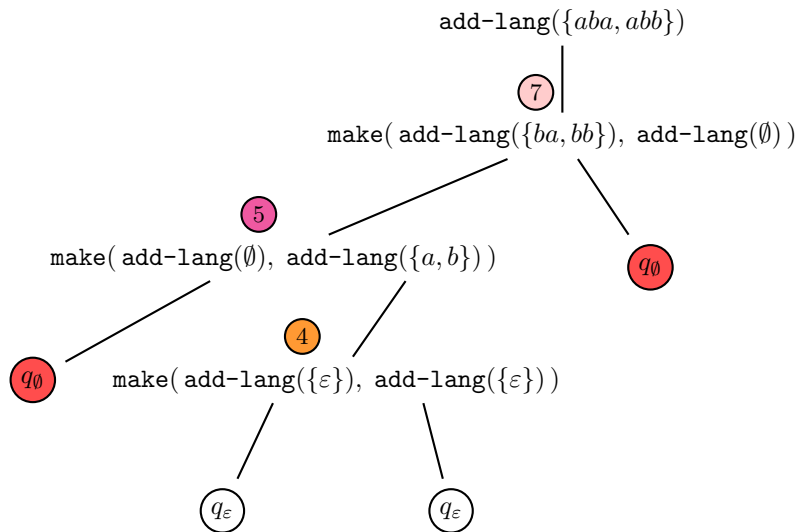
```



The table obtained after the execution is as follows:

Ident.	$a$ -succ	$b$ -succ
2	$q_\varepsilon$	$q_\emptyset$
3	$q_\emptyset$	2
4	$q_\varepsilon$	$q_\varepsilon$
5	$q_\emptyset$	4
6	3	5

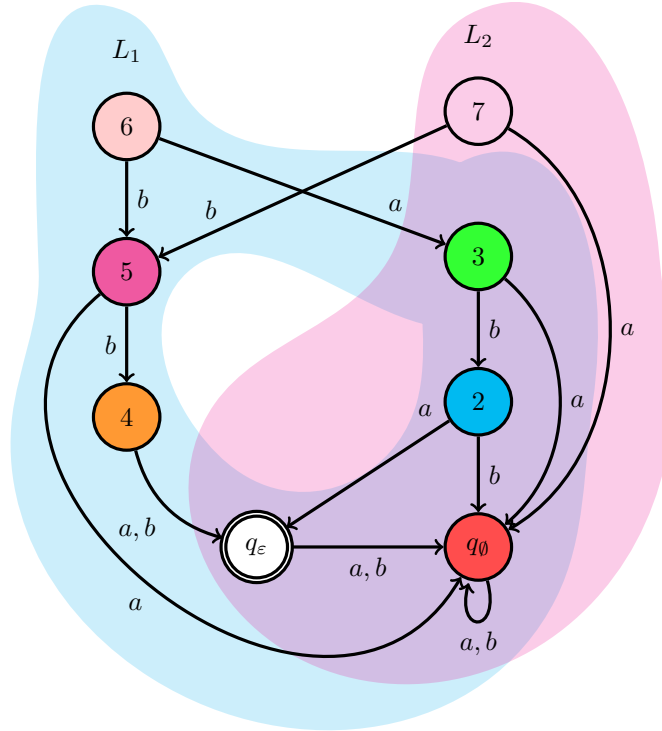
Executing  $\text{add-lang}(L_2)$  yields the following computation tree:



The table obtained after the execution is as follows:

Ident.	$a$ -succ	$b$ -succ
7	5	$q_\emptyset$
4	$q_\varepsilon$	$q_\varepsilon$
5	$q_\emptyset$	4

The resulting master automaton fragment is:



(c) Let us first adapt the algorithm for intersection to obtain an algorithm for union:

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**Input:** States  $p$  and  $q$  of same length of the master automaton.

**Output:** State  $r$  of the master automaton such that  $L(r) = L(p) \cup L(q)$ .

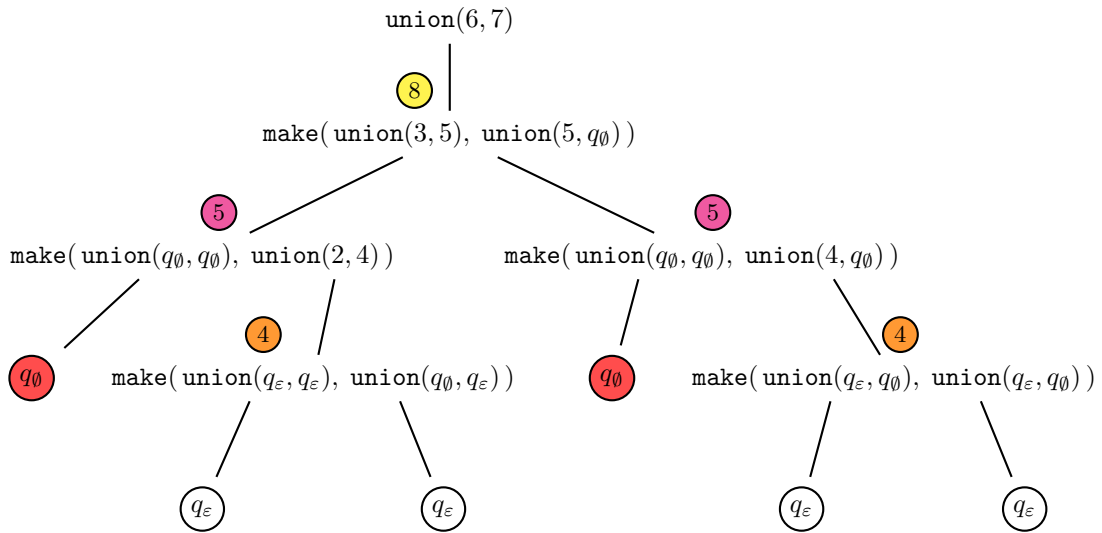
```

1 union( $p, q$ ) :
2   if  $G(p, q)$  is not empty then
3     return  $G(p, q)$ 
4   else if  $p = q_\emptyset$  and  $q = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $p = q_\varepsilon$  or  $q = q_\varepsilon$  then
7     return  $q_\varepsilon$ 
8   else
9     for  $a_i \in \Sigma$  do
10       $s_i \leftarrow \text{union}(p^{a_i}, q^{a_i})$ 
11       $G(p, q) \leftarrow \text{make}(s_1, s_2, \dots, s_n)$ 
12      return  $G(p, q)$ 

```

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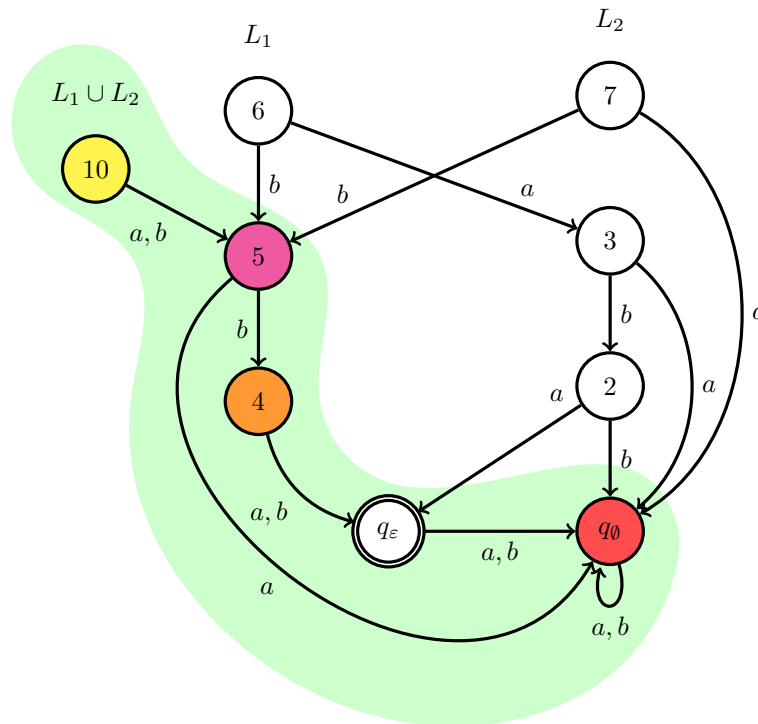
Executing  $\text{union}(6, 7)$  yields the following computation tree:



The table obtained after the execution is as follows:

Ident.	$a$ -succ	$b$ -succ
8	5	5
5	$q_0$	4
4	$q_\epsilon$	$q_\epsilon$

The new fragment of the master automaton is:



★ Note that  $\text{union}$  could be slightly improved by returning  $q$  whenever  $p = q$ , and by updating  $G(q, p)$  at the same time as  $G(p, q)$ .

(d) The kernels are:

$$\begin{aligned}\langle L_1 \rangle &= L_1, \\ \langle L_2 \rangle &= L_2, \\ \langle L_1 \cup L_2 \rangle &= \{ba, bb\}.\end{aligned}$$

### Solution 7.3

(a) The algorithm takes as input a state of the master automaton and the length of the language it recognizes, and recursively constructs a formula as follows:

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**Input:** state  $q$  recognizing a language of length  $n$   
**Output:** formula  $\varphi_q$  such that  $\mathcal{L}(\varphi_q) = \mathcal{L}(q)$

```
1 DFAtoFormula( $q, n$ ):
2   if  $G(q)$  is not empty then
3     return  $G(q)$ 
4   if  $q = q_\emptyset$  then
5     return false
6   else if  $q = q_\epsilon$  then
7     return true
8   else
9      $\varphi_0 \leftarrow DFAtoFormula(q^0, n - 1)$ 
10     $\varphi_1 \leftarrow DFAtoFormula(q^1, n - 1)$ 
11     $\varphi_q \leftarrow (\neg x_1 \wedge \varphi_0) \vee (x_1 \wedge \varphi_1)$ 
12     $G(q) \leftarrow \varphi_q$ 
13    return  $G(q)$ 
```

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Observe that the parameter  $n$  is needed to identify the variable at line 11.

Our algorithm takes as input a table with the state identifiers and successors of all the descendants of  $q$  (i.e., the fragment of the master automaton starting at  $q$ ). This is a polynomial time algorithm because we compute  $\varphi_{q'}$  once for every descendant  $q'$  of  $q$ .

Note that this algorithm could be improved by adding an *else* that checks if  $q^0 = q^1$  before the last else:

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```
1 else if  $q^0 = q^1$  then
2    $\varphi \leftarrow DFAtoFormula(q^0, n - 1)$ 
3    $\varphi_q \leftarrow \varphi$ 
4    $G(q) \leftarrow \varphi_q$ 
5   return  $G(q)$ 
```

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- (b) Given a formula  $\varphi$  over variables  $x_1, \dots, x_n$ , we write  $\varphi[x_i/\mathbf{true}]$  and  $\varphi[x_i/\mathbf{false}]$  to denote the formulas obtained by replacing all occurrences of  $x_i$  in  $\varphi$  by **true** and **false**, respectively. We have that  $\mathcal{L}(\varphi[x_1/\mathbf{false}]) = \mathcal{L}(\varphi)^0$  and  $\mathcal{L}(\varphi[x_1/\mathbf{true}]) = \mathcal{L}(\varphi)^1$ . This yields the following algorithm:

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**Input:** formula  $\varphi$  over variables  $x_1, \dots, x_n$ , total number of variables  $n$ ,  $k$  initially equal to 1  
**Output:** state  $q$  such that  $L(\varphi) = L(q)$

```
1 FormulatoDFA( $\varphi, n, k$ ) :  
2   if  $G(\varphi)$  is not empty then  
3     return  $G(\varphi)$   
4   if  $\varphi = \mathbf{true}$  then  
5     return  $q_\varepsilon$   
6   else if  $\varphi = \mathbf{false}$  then  
7     return  $q_\emptyset$   
8   else  
9      $r_0 \leftarrow \text{FormulatoDFA}(\varphi[x_k/\mathbf{false}], n, k + 1)$   
10     $r_1 \leftarrow \text{FormulatoDFA}(\varphi[x_k/\mathbf{true}], n, k + 1)$   
11     $G(\varphi) \leftarrow \text{make}(r_0, r_1)$   
12    return  $G(\varphi)$ 
```

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