

Automata and Formal Languages — Exercise Sheet 5

Exercise 5.1

Let $L_1 = \{baa, aaa, bab\}$ and $L_2 = \{baa, aab\}$.

- (a) Give an algorithm for the following operation:

INPUT: A fixed-length language $L \subseteq \Sigma^k$ described explicitly as a set of words.
OUTPUT: State q of the master automaton over Σ such that $L(q) = L$.

- (b) Use the previous algorithm to build the states of the master automaton for L_1 and L_2 .
(c) Compute the state of the master automaton representing $L_1 \cup L_2$.
(d) Identify the kernels $\langle L_1 \rangle$, $\langle L_2 \rangle$, and $\langle L_1 \cup L_2 \rangle$.

Exercise 5.2

- (a) Give an recursive algorithm for the following operation:

INPUT: States p and q of the master automaton.
OUTPUT: State r of the master automaton such that $L(r) = L(p) \cdot L(q)$.

Observe that the languages $L(p)$ and $L(q)$ can have different lengths. Try to reduce the problem for p, q to the problem for p^a, q .

- (b) Give an recursive algorithm for the following operation:

INPUT: A state q of the master automaton.
OUTPUT: State r of the master automaton such that $L(r) = L(q)^R$

where R is the reverse operator.

- (c) A *coding* over an alphabet Σ is a function $h: \Sigma \mapsto \Sigma$. A coding h can naturally be extended to a morphism over words, i.e. $h(\varepsilon) = \varepsilon$ and $h(w) = h(w_1)h(w_2) \cdots h(w_n)$ for every $w \in \Sigma^n$. Give an algorithm for the following operation:

INPUT: A state q of the master automaton and a coding h .
OUTPUT: State r of the master automaton such that $L(r) = \{h(w) : w \in L(q)\}$.

Can you make your algorithm more efficient when h is a permutation?

Exercise 5.3

Let $k \in \mathbb{N}_{>0}$. Let $\text{flip} : \{0, 1\}^k \rightarrow \{0, 1\}^k$ be the function that inverts the bits of its input, e.g. $\text{flip}(010) = 101$. Let $\text{val} : \{0, 1\}^k \rightarrow \mathbb{N}$ be such that $\text{val}(w)$ is the number represented by w in the *least significant bit first* encoding.

- (a) Describe the minimal transducer that accepts

$$L_k = \{[x, y] \in (\{0, 1\} \times \{0, 1\})^k \mid \text{val}(y) = \text{val}(\text{flip}(x)) + 1 \bmod 2^k\}.$$

- (b) Build the state r of the master transducer for L_3 , and the state q of the master automaton for $\{010, 110\}$.
(c) Adapt the algorithm *pre* seen in class to compute *post* and compute using this algorithm $\text{post}(r, q)$.

Solution 5.1

(a)

Input: A fixed-length language $L \subseteq \Sigma^k$ described explicitly by a set of words.

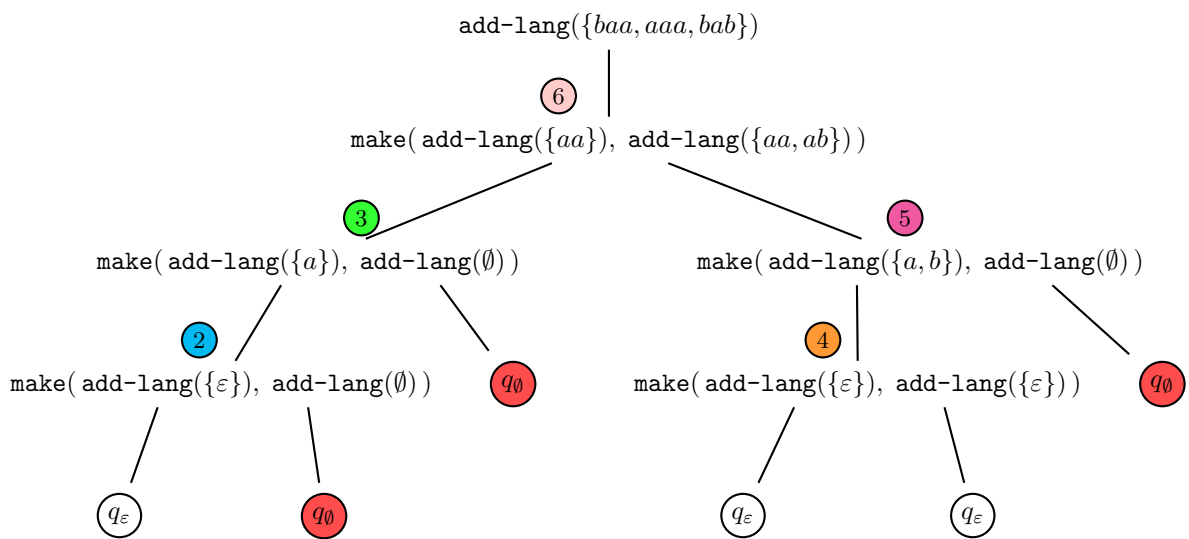
Output: State q of the master automaton over Σ such that $L(q) = L$.

```

1 add-lang(L) :
2   if L = ∅ then
3     return q∅
4   else if L = {ε} then
5     return qε
6   else
7     for ai ∈ Σ do
8       Lai ← {u | aiu ∈ L}
9       si ← add-lang(Lai)
10    return make(s1, s2, ..., sn)

```

(b) Executing `add-lang(L1)` yields the following computation tree:



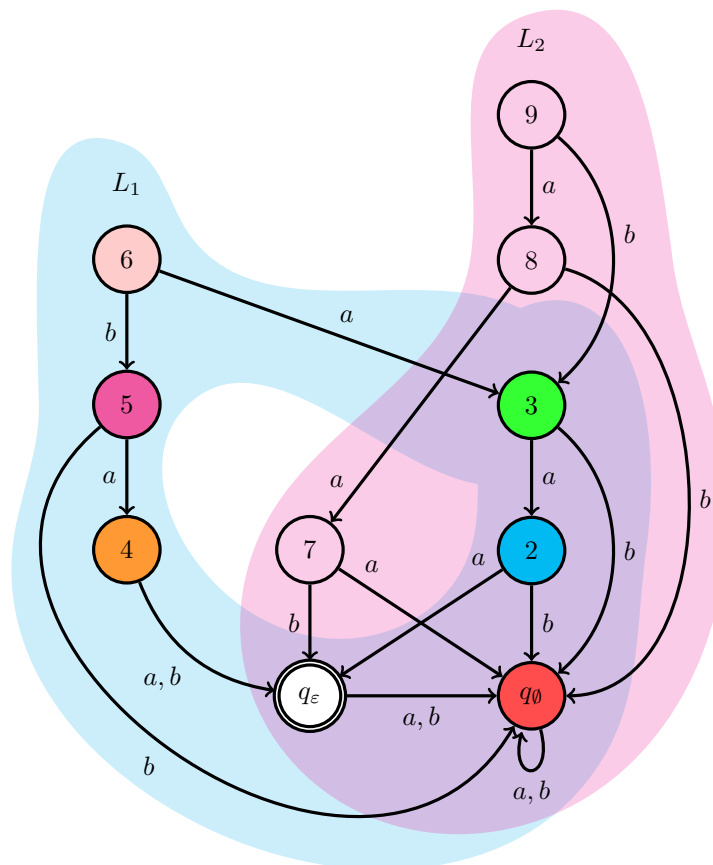
The table obtained after the execution is as follows:

| Ident. | a-succ | b-succ |
|--------|-----------------|-----------------|
| 2 | q_ε | q_\emptyset |
| 3 | 2 | q_\emptyset |
| 4 | q_ε | q_ε |
| 5 | 4 | q_\emptyset |
| 6 | 3 | 5 |

Calling `add-lang(L2)` adds the following rows to the table and returns 9:

| Ident. | a-succ | b-succ |
|--------|---------------|-----------------|
| 7 | q_\emptyset | q_ε |
| 8 | 7 | q_\emptyset |
| 9 | 8 | 3 |

The resulting master automaton fragment is:



(c) Let us first adapt the algorithm for intersection to obtain an algorithm for union:

Input: States p and q of same length of the master automaton.

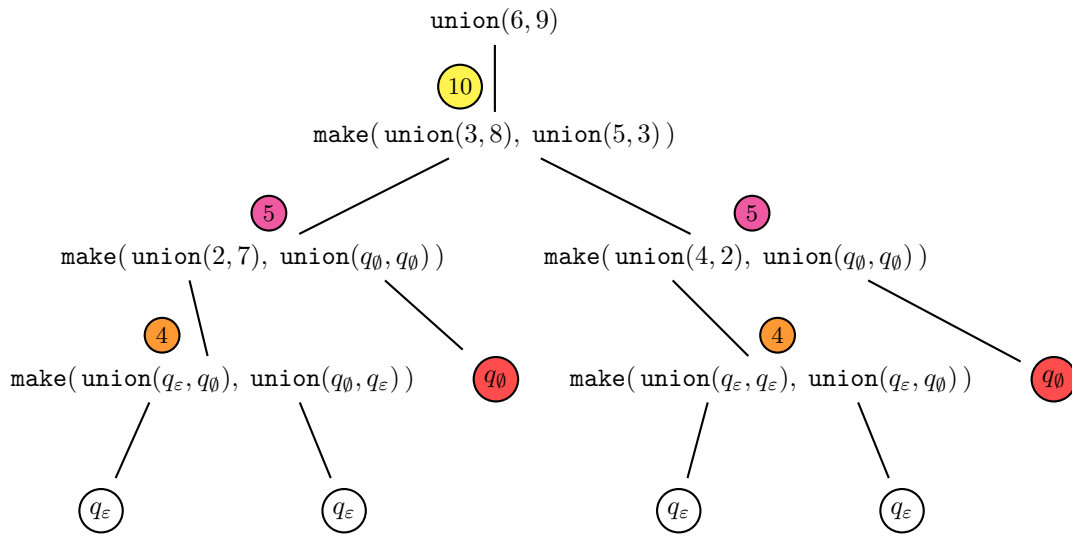
Output: State r of the master automaton such that $L(r) = L(p) \cup L(q)$.

```

1 union( $p, q$ ) :
2   if  $G(p, q)$  is not empty then
3     return  $G(p, q)$ 
4   else if  $p = q_0$  and  $q = q_0$  then
5     return  $q_0$ 
6   else if  $p = q_\epsilon$  or  $q = q_\epsilon$  then
7     return  $q_\epsilon$ 
8   else
9     for  $a_i \in \Sigma$  do
10       $s_i \leftarrow \text{union}(p^{a_i}, q^{a_i})$ 
11       $G(p, q) \leftarrow \text{make}(s_1, s_2, \dots, s_n)$ 
12      return  $G(p, q)$ 

```

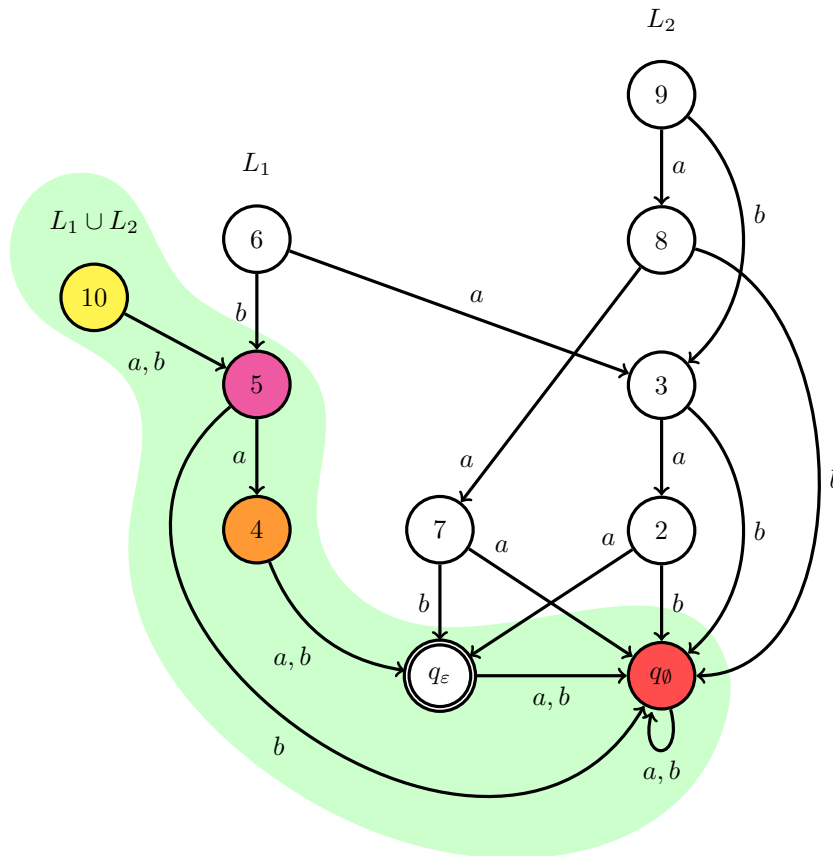
Executing `union(6, 9)` yields the following computation tree:



Calling `union(6, 9)` adds the following row to the table and returns 10:

| Ident. | a -succ | b -succ |
|--------|-----------|-----------|
| 10 | 5 | 5 |

The new fragment of the master automaton is:



★ Note that `union` could be slightly improved by returning q whenever $p = q$, and by updating $G(q, p)$ at the same time as $G(p, q)$.

(d) The kernels are:

$$\begin{aligned}\langle L_1 \rangle &= L_1, \\ \langle L_2 \rangle &= L_2, \\ \langle L_1 \cup L_2 \rangle &= \{aa, ab\}.\end{aligned}$$

Solution 5.2

(a) Let L and L' be fixed-length languages. The following holds:

$$L \cdot L' = \begin{cases} \emptyset & \text{if } L = \emptyset, \\ L' & \text{if } L = \{\varepsilon\}, \\ \bigcup_{a \in \Sigma} \{a\} \cdot L^a \cdot L' & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

Input: States p and q of the master automaton.
Output: State r of the master automaton such that $L(r) = L(p) \cdot L(q)$.

```

1 concat( $p, q$ ):
2   if  $G(p, q)$  is not empty then
3     return  $G(p, q)$ 
4   else if  $p = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $p = q_\varepsilon$  then
7     return  $q$ 
8   else
9     for  $a_i \in \Sigma$  do
10       $s_i \leftarrow \text{concat}(p^{a_i}, q)$ 
11       $G(p, q) \leftarrow \text{make}(s_1, s_2, \dots, s_n)$ 
12      return  $G(p, q)$ 

```

(b) Let L be a fixed-length language. The following holds:

$$L^R = \begin{cases} \emptyset & \text{if } L = \emptyset, \\ \{\varepsilon\} & \text{if } L = \{\varepsilon\}, \\ \bigcup_{a \in \Sigma} (L^a)^R \cdot \{a\} & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

★ Note that Lines 11 and 12 are introduced in order to represent the language $\{a_i\}$ in Line 13 as a state $\text{make}(s_1, s_2, \dots, s_n)$ of the master automaton. This can be avoided by using the algorithm from Exercise 8.1, namely the state that represents $\{a_i\}$ is $\text{add-lang}(\{a_i\})$. Thus, Lines 11-13 can be replaced just by $r \leftarrow \text{concat}(\text{reverse}(q^{a_i}), \text{add-lang}(\{a_i\}))$

Input: A state q of the master automaton.
Output: State r of the master automaton such that $L(r) = L(q)^R$.

```

1 reverse( $q$ ):
2   if  $G(q)$  is not empty then
3     return  $G(q)$ 
4   else if  $q = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $q = q_\varepsilon$  then
7     return  $q_\varepsilon$ 
8   else
9      $p \leftarrow q_\emptyset$ 
10    for  $a_i \in \Sigma$  do
11       $s_i \leftarrow q_\varepsilon$ 
12       $s_j \leftarrow q_\emptyset$  for every  $i \neq j$ 
13       $r \leftarrow \text{concat}(\text{reverse}(q^{a_i}), \text{make}(s_1, s_2, \dots, s_n))$ 
14       $p \leftarrow \text{union}(p, r)$ 
15     $G(q) \leftarrow p$ 
16    return  $G(q)$ 

```

(c) Let L be a fixed-length language and let h be a coding. The following holds:

$$h(L) = \begin{cases} \emptyset & \text{if } L = \emptyset, \\ \{\varepsilon\} & \text{if } L = \{\varepsilon\}, \\ \bigcup_{a \in \Sigma} h(a) \cdot h(L^a) & \text{otherwise.} \end{cases}$$

These identities give rise to the following algorithm:

Input: A state q of the master automaton and a coding h .
Output: State r of the master automaton such that $L(r) = \{h(w) : w \in L(q)\}$.

```

1 coding( $q, h$ ):
2   if  $G(q)$  is not empty then
3     return  $G(q)$ 
4   else if  $q = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $q = q_\varepsilon$  then
7     return  $q_\varepsilon$ 
8   else
9      $p \leftarrow q_\emptyset$ 
10    for  $a \in \Sigma$  do
11       $r \leftarrow \text{coding}(q^a, h)$ 
12       $s_{h(a)} \leftarrow r$ 
13       $s_b \leftarrow q_\emptyset$  for every  $b \neq h(a)$ 
14       $p \leftarrow \text{union}(p, \text{make}(s))$ 
15     $G(q) \leftarrow p$ 
16    return  $G(q)$ 

```

The above algorithm makes use of **union** because the coding may be the same for distinct letters, i.e. $h(a) = h(b)$ for $a \neq b$ is possible. However, if the coding is a permutation, then this is not possible, and thus each letter maps to a unique residual. Therefore, the algorithm can be adapted as follows:

Input: A state q of the master automaton and a coding h which is a permutation.

Output: State r of the master automaton such that $L(r) = \{h(w) : w \in L(q)\}$.

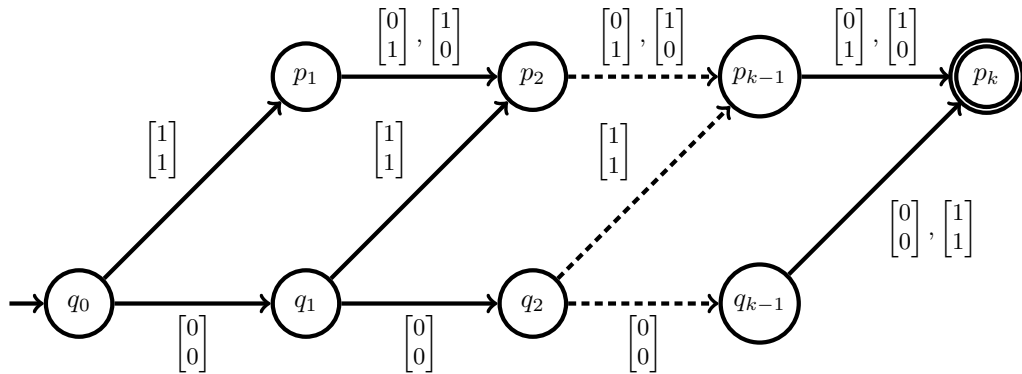
```

1 coding-permutation( $q, h$ ):
2   if  $G(q)$  is not empty then
3     return  $G(q)$ 
4   else if  $q = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $q = q_\varepsilon$  then
7     return  $q_\varepsilon$ 
8   else
9     for  $a \in \Sigma$  do
10       $s_{h(a)} \leftarrow \text{coding-permutation}(q^a, h)$ 
11       $G(q) \leftarrow \text{make}(s)$ 
12   return  $G(q)$ 

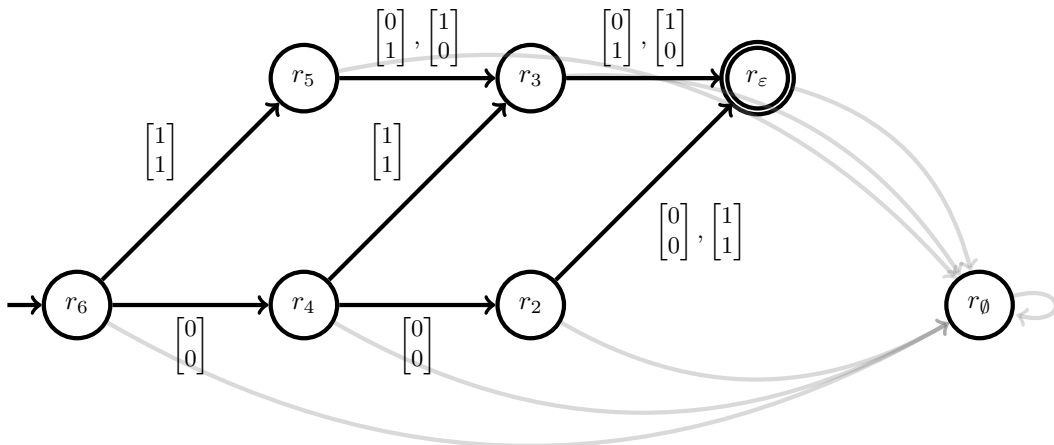
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Solution 5.3

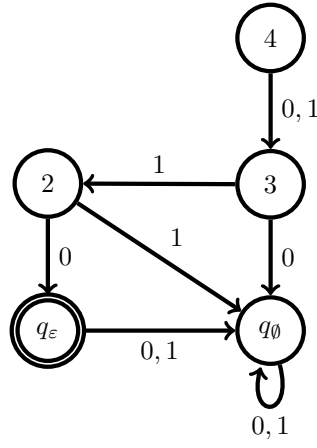
- (a) Let $[x, y] \in L_k$. We may flip the bits of x at the same time as adding 1. If $x_1 = 1$, then $\neg x_1 = 0$, and hence adding 1 to $\text{val}(\text{flip}(x))$ results in $y_1 = 1$. Thus, for every $1 < i \leq k$, we have $y_i = \neg x_i$. If $x_1 = 0$, then $\neg x_1 = 1$. Adding 1 yields $y_1 = 0$ with a carry. This carry is propagated as long as $\neg x_i = 1$, and thus as long as $x_i = 0$. If some position j with $x_j = 1$ is encountered, the carry is “consumed”, and we flip the remaining bits of x . These observations give rise to the following minimal transducer for L_k :



- (b) The minimal transducer accepting L_3 is



State 4 of the following master automaton fragment accepts $\{010, 110\}$:



(c) We can establish the following identities similar to those obtained for *pre*:

$$post_R(L) = \begin{cases} \emptyset & \text{if } R = \emptyset \text{ or } L = \emptyset, \\ \{\varepsilon\} & \text{if } R = \{[\varepsilon, \varepsilon]\} \text{ and } L = \{\varepsilon\}, \\ \bigcup_{a,b \in \Sigma} b \cdot post_{R^{[a,b]}}(L^a) & \text{otherwise.} \end{cases}$$

To see that these identities hold, let $b \in \Sigma$ and $v \in \Sigma^k$ for some $k \in \mathbb{N}$. We have,

$$\begin{aligned} bv \in post_R(L) &\iff \exists a \in \Sigma, u \in \Sigma^k \text{ s.t. } au \in L \text{ and } [au, bv] \in R \\ &\iff \exists a \in \Sigma, u \in L^a \text{ s.t. } [au, bv] \in R \\ &\iff \exists a \in \Sigma, u \in L^a \text{ s.t. } [u, v] \in R^{[a,b]} \\ &\iff \exists a \in \Sigma \text{ s.t. } v \in Post_{R^{[a,b]}}(L^a) \\ &\iff v \in \bigcup_{a \in \Sigma} Post_{R^{[a,b]}}(L^a) \\ &\iff bv \in \bigcup_{a \in \Sigma} b \cdot Post_{R^{[a,b]}}(L^a). \end{aligned}$$

We obtain the following algorithm:

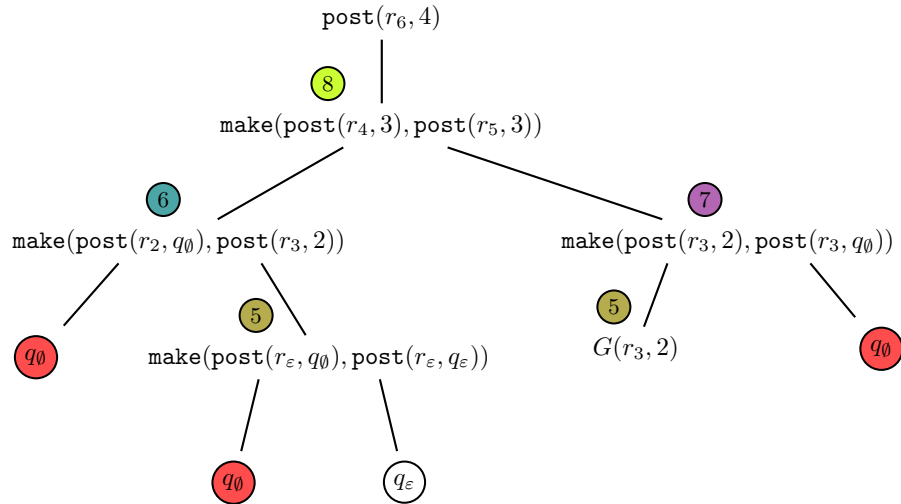
Input: A state r of the master transducer and a state q of the master automaton.
Output: State p of the master automaton such that $L(p) = Post_R(L)$ where $R = L(r)$ and $L = L(q)$.

```

1 post( $r, q$ ):
2   if  $G(r, q)$  is not empty then
3     return  $G(r, q)$ 
4   else if  $r = r_\emptyset$  or  $q = q_\emptyset$  then
5     return  $q_\emptyset$ 
6   else if  $r = r_\varepsilon$  and  $q = q_\varepsilon$  then
7     return  $q_\varepsilon$ 
8   else
9     for  $b_i \in \Sigma$  do
10       $p \leftarrow q_\emptyset$ 
11      for  $a \in \Sigma$  do
12         $p \leftarrow \text{union}(p, \text{post}(r^{[a,b_i]}, q^a))$ 
13       $s_i \leftarrow p$ 
14       $G(q, r) \leftarrow \text{make}(s_1, s_2, \dots, s_n)$ 
15      return  $G(q, r)$ 

```

Note that the transducer for L_3 has some “strong” deterministic property. Indeed, for every state r and $b \in \{0, 1\}$, if $r^{[a,b]} \neq r_\emptyset$ then $r^{[-a,b]} = r_\emptyset$. Hence, for a fixed $b \in \{0, 1\}$, at most one term of the form “ $\text{post}(r^{[a,b]}, q^a)$ ” can differ from q_\emptyset at line 12 of the algorithm. Thus, unions made by the algorithm on this transducer are trivial, and executing $\text{post}(6, 4)$ yields the following computation tree:



Calling $\text{post}(6, 4)$ adds the following rows to the master automaton table and returns 8:

| Ident. | 0-succ | 1-succ |
|--------|---------------|---------------|
| 5 | q_\emptyset | q_ϵ |
| 6 | q_\emptyset | 5 |
| 7 | 5 | q_\emptyset |
| 8 | 6 | 7 |

The resulting master automaton fragment:

